Form 210A (10/06)

United States Bankruptcy Court Southern District Of New York

In re Lehman Brothers Holdings Inc., et al.,

Case No. 08-13555 (JMP) (Jointly Administered)

TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

Name of Transferee	

Deutsche Bank AG, London Branch

The Royal Bank of Scotland Plc

Name of Transferor

Name and Address where notices to transferee should be sent:

Deutsche Bank AG, London Branch Winchester House, 1 Great Winchester Street London EC2N 2DB

Tel: +44 20 7547 2400
Fax: +44 113 336 2010
Attention: Michael Sutton
E-mail: Michael.sutton@db.com

Court Claim # (if known): See attached Schedule

Amount of Claim (transferred):

(i) See attached Schedule

(plus all interest, costs and fees relating to these claims)

Date Claim Filed: See attached Schedule

Tel: N/A

Last Four Digits of Acct. #: N/A

Last Four Digits of Acct. #: N/A

Name and Address where transferee payments should be sent (if different from above):

Tel: +44 20 7547 2400

Last Four Digits of Acct #: N/A

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

Transferee/Transferee's Agent

Date: 14 JUNE 2014

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both, 18 U.S.C. §§ 152 & 3571.

Alex Darbyshire Vice President Simon Glennie Director

ISIN/CUSIP	LBHI Claim Number	Date Claim Filed	Princ	ipal/Notional Amount
XS0331504745	40647	0-140-000		
XS0326608279	41347	Oct 16, 2009	USD	130,000.00
XS0326708491	42084	Oct 19, 2009	USD	140,000.00
XS0349511872	42161	Oct 19, 2009 Oct 19, 2009	USD	420,000.00
XS0331769538	43050	1	USD	400,000.00
XS0327940929	43114	Oct 21, 2009	USD	250,000.00
XS0334922860	43809	Oct 21, 2009	AUD	130,000.00
XS0326608279	44051	Oct 22, 2009	HKD	500,000.00
XS0348407411	44052	Oct 22, 2009	USD	90,000.00
XS0339560293	44075	Oct 22, 2009 Oct 22, 2009	HKD	900,000.00
XS0334922860	44190		USD	130,000.00
XS0337553688	44192	Oct 22, 2009	HKD	100,000.00
XS0331400530	44193	Oct 22, 2009	USD	100,000.00
XS0331400027	44194	Oct 22, 2009	JUSD	20,000.00
XS0331769538	44195	Oct 22, 2009 Oct 22, 2009	USD	20,000.00
XS0327940929	44291	Oct 22, 2009	USD	40,000.00
XS0339560293	44292	Oct 22, 2009	AUD	150,000.00
XS0339560376	44293	Oct 22, 2009	USD	170,000.00
XS0336927909	44297	Oct 22, 2009	USD	370,000.00
XS0341160082	44530	Oct 22, 2009	USD	200,000.00
XS0326026498	44532	Oct 22, 2009	HKD	50,000,00
XS0331400530	44993	Oct 23, 2009	USD	1,000,000.00
XS0336415913	44994	Oct 23, 2009	USD	300,000.00
XS0331400027	44995	Oct 23, 2009	USD	260,000.00
XS0326608279	44996	Oct 23, 2009	USD	300,000.00
XS0349511872 XS0331400530	44997	Oct 23, 2009	USD	330,000.00 250,000.00
XS0331769454	45130	Oct 23, 2009	USD	100,000.00
XS0338525172	45132	Oct 23, 2009	USD	300,000.00
XS0337553688	45133	Oct 23, 2009	USD	180,000.00
XS0341160082	45134	Oct 23, 2009	USD	380,000.00
XS0326026498	45135	Oct 23, 2009	USD	380,000.00
XS0327940929	45136	Oct 23, 2009	HKD	2,900,000.00
XS0336415913	45246 45263	Oct 23, 2009	AUD	200,000.00
XS0336927909	45203	Oct 23, 2009	USD	700,000.00
XS0326608279	45273	Oct 23, 2009	USD	110,000.00
XS0331769538	45275	Oct 23, 2009	USD	130,000.00
XS0325786084	45276	Oct 23, 2009	USD	130,000.00
XS0331769454	45289	Oct 23, 2009	HKD	3,000,000.00
XS0326026225	45296	Oct 23, 2009	USD	160,000.00
XS0326708491	45396	Oct 23, 2009 Oct 23, 2009	USD	70,000.00
XS0331769538	45401	Oct 23, 2009 Oct 23, 2009	USD	150,000.00
XS0331769538	45405	Oct 23, 2009	USD	100,000.00
XS0348407411	45443	Oct 23, 2009	USD	430,000.00
XS0349512250	45444	Oct 23, 2009	HKD	500,000.00
XS0334922860	45445	Oct 23, 2009	HKD	300,000.00
XS0331769454	45447	Oct 23, 2009	USD	200,000.00
XS0336927909	45597	Oct 23, 2009	USD	40,000.00
XS0348407411	45751	Oct 26, 2009	HKD	100,000.00
XS0348407411 XS0336927000	45752	Oct 26, 2009	HKD	3,000,000.00
XS0336927909 XS0348407444	45760	Oct 26, 2009	USD	1,000,000.00
XS0348407411 XS0335743309	45766	Oct 26, 2009	HKD	100,000.00
XS0335743398 XS0336645089	45767	Oct 26, 2009	HKD	1,000,000.00
XS0348407411	45768	Oct 26, 2009	USD	500,000.00 160,000.00
XS0348407411 XS0349512250	45771	Oct 26, 2009	HKD	3,000,000.00
XS0326026225	45777	Oct 26, 2009	HKD	900,000.00
	45799	Oct 26, 2009	USD	30,000.00

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XS0335743398	45800	Oct 26, 2009	HKD	F00.000.00
XS0336250229	45801	Oct 26, 2009	USD	520,000.00
XS0326866307	45802	Oct 26, 2009	HKD	200,000.00
XS0328586515	45803	Oct 26, 2009	USD	510,000.00
XS0326708491	45804	Oct 26, 2009		20,000,00
XS0331400027	45805	Oct 26, 2009	USD	20,000.00
XS0341160082	45806	Oct 26, 2009	USD	20,000.00
XS0336927909	45807	Oct 26, 2009	USD	20,000.00
XS0335743398	45814	Oct 26, 2009	HKD	20,000.00
XS0348407411	45824	Oct 26, 2009	HKD	2,000,000.00
XS0336415913	45836	Oct 26, 2009	USD	4,000,000.00
XS0326608279	45837	Oct 26, 2009	USD	70,000.00
XS0326026498	45838	Oct 26, 2009	1	100,000.00
XS0325786084	45839	Oct 26, 2009	HKD	800,000.00
XS0336250229	45843	1	HKD	800,000.00
XS0326608352	45854	Oct 26, 2009	USD	160,000.00
XS0335743398	45866 45866	Oct 26, 2009	HKD	2,000,000.00
XS0332153807	45894	Oct 26, 2009 Oct 26, 2009	HKD	1,220,000.00
XS0326865671	45916	Oct 26, 2009	USD	780,000.00
XS0336415913	45918	Oct 26, 2009	USD	100,000.00
XS0326608352	45919	Oct 26, 2009	HKD	70,000.00
XS0329337348	45920	Oct 26, 2009	HKD	500,000.00
XS0349511872	46870	Oct 26, 2009	USD	400,000.00
XS0331769454	46892	Oct 26, 2009	USD	100,000.00 400,000.00
X\$0335743398	46918	Oct 26, 2009	HKD	1,000,000.00
XS0326608352	46944	Oct 26, 2009	HKD	2,500,000.00
XS0338525172	47065	Oct 26, 2009	USD	160,000.00
XS0325786084	47351	Oct 26, 2009	HKD	1,200,000.00
XS0339560376 XS0349512250	47352	Oct 26, 2009	HKD	500,000.00
XS0343512250 XS0331504745	47353	Oct 26, 2009	HKD	1,200,000.00
XS0334922860	47361	Oct 26, 2009	USD	70,000.00
XS0336250229	47362	Oct 26, 2009	HKD	500,000.00
XS0335743398	47373	Oct 26, 2009	USD	80,000.00
XS0325786084	47374	Oct 26, 2009	HKD	500,000.00
XS0329337348	47380 47501	Oct 26, 2009	HKD	500,000.00
XS0331400027	47608	Oct 27, 2009	HKD	300,000.00
XS0326608352	47619	Oct 27, 2009	USD	120,000.00
XS0326026225	47741	Oct 27, 2009	HKD	5,630,000.00
XS0326866307	47742	Oct 27, 2009	USD	80,000.00
XS0326026498	47750	Oct 27, 2009 Oct 27, 2009	HKD	2,100,000.00
XS0331400027	47751	Oct 27, 2009 Oct 27, 2009	HKD	400,000.00
XS0335743398	47753	Oct 27, 2009	USD HKD	50,000.00
XS0339560376	47754	Oct 27, 2009	HKD	500,000.00
XS0326608279	47878	Oct 27, 2009	USD	500,000.00
XS0326608352	47879	Oct 27, 2009	HKD	200,000.00
XS0326866307	47979	Oct 27, 2009	HKD	1,500,000.00 540,000.00
XS0331400027	48604	Oct 27, 2009	USD	30,000.00
XS0331400530	48605	Oct 27, 2009	USD	30,000.00
XS0331769454	48606	Oct 27, 2009	USD	50,000.00
XS0335743398	48608	Oct 27, 2009	HKD	1,000,000.00
XS0339560293 XS0334922860	48651	Oct 27, 2009	USD	80,000.00
	48652	Oct 27, 2009	HKD	470,000.00
XS0326608279 XS0328586515	48667	Oct 27, 2009	USD	30,000.00
XS0328586515 XS0331769538	48744	Oct 27, 2009	USD	200,000.00
XS0336250229	49430	Oct 27, 2009	USD	170,000.00
XS0336927909	49467	Oct 27, 2009	USD	200,000.00
XS0326026498	49518	Oct 27, 2009	USD	180,000.00
	49525	Oct 27, 2009	HKD	650,000.00
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XS0326026225	49575	Oct 27, 2009	lusp	
XS0331769538	49580	3	1	130,000.00
XS0331769454	49965	Oct 27, 2009	USD	100,000.00
XS0325841368	49966	Oct 27, 2009	USD	150,000.00
XS0336927909	50340	Oct 27, 2009	USD	100,000.00
XS0331769538	50340	Oct 28, 2009	USD	180,000.00
XS0334922860	50669	Oct 28, 2009	USD	350,000.00
XS0331400530	50678	Oct 28, 2009	HKD	1,000,000.00
XS0331400027	50684	Oct 28, 2009	USD	140,000.00
XS0336250229	50703	Oct 28, 2009	USD	170,000.00
XS0331400530	50835	Oct 28, 2009	USD	200,000.00
XS0326026498	50836	Oct 28, 2009	USD	50,000.00
XS0335743398	50873	Oct 28, 2009	HKD	500,000.00
XS0331769538		Oct 28, 2009	HKD	1,000,000.00
XS0326026498	50879	Oct 28, 2009	USD	120,000.00
XS0331400530	50885	Oct 28, 2009	HKD	1,500,000.00
XS0331769454	50889	Oct 28, 2009	USD	110,000.00
XS0329337348	50898	Oct 28, 2009	USD	130,000.00
XS0331769538	50899 50904	Oct 28, 2009	HKD	1,000,000.00
XS0369799845	1 1	Oct 28, 2009	USD	100,000.00
XS0369799845	51250 51251	Oct 28, 2009	USD	75,000.00
XS0348407411	51601	Oct 28, 2009	USD	80,000.00
XS0334922860	51602	Oct 28, 2009	HKD	500,000.00
XS0349512250	51628	Oct 28, 2009	HKD	1,000,000.00
XS0327940929	51772	Oct 28, 2009	HKD	1,800,000.00
XS0331504745	51773	Oct 28, 2009 Oct 28, 2009	AUD	200,000.00
XS0331769538	55132	Oct 29, 2009	USD	130,000.00
XS0369799846	55137	Oct 29, 2009	USD	220,000.00
XS0331400530	55154	Oct 29, 2009	USD	65,000.00
XS0349511872	55213	Oct 29, 2009	USD	540,000.00
XS0331400027	55214	Oct 29, 2009	USD	500,000.00
XS0349511872	55222	Oct 29, 2009	USD	960,000.00
XS0336250229	55282	Oct 29, 2009	USD	150,000.00
XS0325786084	55283	Oct 29, 2009	HKD	60,000.00
XS0326608279	56038	Oct 29, 2009	USD	500,000.00
XS0336645089	56521	Oct 29, 2009	USD	200,000.00
XS0331400530	56522	Oct 29, 2009	USD	150,000.00
XS0331504745	56727	Oct 29, 2009	USD	140,000.00
XS0336927909	56728	Oct 29, 2009	USD	80,000.00
XS0327940929	56967	Oct 29, 2009	AUD	70,000.00 280,000.00
XS0331769454	56968	Oct 29, 2009	USD	20,000.00
XS0325841368	57068	Oct 30, 2009	USD	100,000.00
XS0326608279 XS0332153807	57070	Oct 30, 2009	USD	220,000.00
XS0327940929	57072	Oct 30, 2009	HKD	800,000.00
XS0348407411	57100	Oct 30, 2009	AUD	200,000.00
XS0336250229	57110	Oct 30, 2009	HKD	1,000,000.00
XS0331400530	57134	Oct 30, 2009	บรอ	50,000.00
XS0326608436	57135	Oct 30, 2009	USD	40,000.00
XS0327859301	57136	Oct 30, 2009	USD	50,000.00
XS0349511872	57137	Oct 30, 2009	HKD	580,000.00
XS0336250229	57187	Oct 30, 2009	USD	90,000.00
XS0325841368	57196	Oct 30, 2009	USD	20,000.00
XS0326608279	57198	Oct 30, 2009	USD	40,000.00
XS0326608436	57199 57200	Oct 30, 2009	USD	30,000.00
XS0339560376	57209	Oct 30, 2009	USD	120,000.00
XS0336927909	57210	Oct 30, 2009	HKD	1,030,000.00
XS0326608436	57212	Oct 30, 2009	USD	100,000.00
XS0334922860	57213 57230	Oct 30, 2009	USD	150,000.00
	01200	Oct 30, 2009	HKD	500,000.00
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XS0325786084	ř.				
XS0326708491 57232 Oct 30, 2009 USD 40,000.00 XS0331799538 57238 Oct 30, 2009 USD 100,000.00 XS0331799538 57238 Oct 30, 2009 USD 130,000.00 XS0336415913 57269 Oct 30, 2009 USD 130,000.00 XS033416913 57260 Oct 30, 2009 USD 50,000.00 XS033416913 57260 Oct 30, 2009 USD 70,000.00 XS033416913 57260 Oct 30, 2009 USD 70,000.00 XS03341694745 57263 Oct 30, 2009 USD 70,000.00 XS033464591 57263 Oct 30, 2009 USD 70,000.00 XS033464593 57265 Oct 30, 2009 USD 70,000.00 XS033464593 57265 Oct 30, 2009 USD 70,000.00 XS0332690436 57265 Oct 30, 2009 USD 150,000.00 XS0332690436 57265 Oct 30, 2009 USD 160,000.00 XS0334160027 57269 Oct 30, 2009 USD 210,000.00 XS0334160027 57270 Oct 30, 2009 USD 210,000.00 XS0334160027 57270 Oct 30, 2009 USD 50,000.00 XS03254786084 57268 Oct 30, 2009 USD 50,000.00 XS03254786084 57269 Oct 30, 2009 USD 50,000.00 XS03254786084 57265 Oct 30, 2009 USD 50,000.00 XS03254786084 57729 Oct 30, 2009 USD 50,000.00 XS03254786084 57719 Oct 30, 2009 USD 50,000.00 XS03254786085 5733 Oct 30, 2009 USD 50,000.00 XS0325641288 57733 Oct 30, 2009 USD 50,000.00 XS0326886307 57719 Oct 30, 2009 USD 50,000.00 XS0326861368 57710 Oct 30, 2009 USD 50,000.00 XS033663508 57731 Oct 30, 2009 USD 50,000.00 XS033663508 57731 Oct 30, 2009 USD 50,000.00 XS0336645089 57752 Oct 30, 2009 USD 50,000.00 XS0336645089 57753 Oct 30, 2009 USD 50,000.00 XS0336645089 57753 Oct 30, 2009 USD 30,000.00 XS0336645089 5775	X\$0325786084	57231	Oct 30, 2009	Тнкр	600,000,00
XS0335825172	XS0326708491	i	1	1	
XS0331769638 XS033071377 XS0326608279 XS0326608279 XS0326608279 XS0326608279 XS0336415913 S7269 Oct 30, 2009 USD 130,000,00 XS0334100530 S7262 Oct 30, 2009 USD 50,000,00 XS0334100530 S7262 Oct 30, 2009 USD 30,000,00 XS0331604745 S7263 Oct 30, 2009 USD 30,000,00 XS033645091 S7264 Oct 30, 2009 USD 30,000,00 XS0336645099 S7265 Oct 30, 2009 USD 150,000,00 XS0336645099 S7266 Oct 30, 2009 USD 150,000,00 XS0336647099 S7266 Oct 30, 2009 USD 150,000,00 XS0336647099 S7266 Oct 30, 2009 USD 160,000,00 XS0336647099 S7266 Oct 30, 2009 USD 100,000,00 XS033400027 S7270 Oct 30, 2009 USD 100,000,00 XS033400027 S7270 Oct 30, 2009 USD 100,000,00 XS033400027 S7270 Oct 30, 2009 USD 100,000,00 XS0332660376 S7718 Oct 30, 2009 USD 100,000,00 XS0332660376 S7719 Oct 30, 2009 USD 100,000,00 XS0332660376 S7721 Oct 30, 2009 USD 100,000,00 XS0332660376 S7721 Oct 30, 2009 USD 100,000,00 XS0332153807 S7751 Oct 30, 2009 USD 100,000,00 XS033763388 S7753 Oct 30, 2009 USD 100,000,00 XS033763388 S7755 Oct 30, 2009 USD 100,000,00 XS033769454 Oct 30, 2009 USD 100,000,00 USD 100,0	4	1			
XS0350571377			L.	1	
XS0326608279 57259 Oct 30, 2009 USD 50,000,00 XS0331400530 57262 Oct 30, 2009 USD 50,000,00 XS0331604745 57263 Oct 30, 2009 USD 50,000,00 XS0331604745 57263 Oct 30, 2009 USD 50,000,00 XS0336645089 57264 Oct 30, 2009 USD 150,000,00 XS0326608436 57265 Oct 30, 2009 USD 150,000,00 XS0326608436 57266 Oct 30, 2009 USD 1210,000,00 XS032678084 57269 Oct 30, 2009 USD 210,000,00 XS032578084 57269 Oct 30, 2009 USD 210,000,00 XS0331400027 57270 Oct 30, 2009 USD 50,000,00 XS0327404029 57332 Oct 30, 2009 USD 50,000,00 XS0325841388 57333 Oct 30, 2009 USD 70,000,00 XS032863076 57718 Oct 30, 2009 HKD 500,000,00 XS033980376 57719 Oct 30, 2009 HKD 500,000,00 XS0339890376 57711 Oct 30, 2009 HKD 500,000,00 XS03326645089 57722 Oct 30, 2009 HKD 200,000,00 XS03326645089 57753 Oct 30, 2009 HKD 200,000,00 XS03326645089 57752 Oct 30, 2009 HKD 200,000,00 XS03326645089 57753 Oct 30, 2009 HKD 800,000,00 XS03326645089 57753 Oct 30, 2009 HKD 800,000,00 XS03346645089 57753 Oct 30, 2009 HKD 800,000,00 XS03346645089 57755 Oct 30, 2009 HKD 800,000,00 XS0331769454 Oct 30, 2009				1	· · · · · · · · · · · · · · · · · · ·
XS0336415913		I .		i	
XS0331400530 57262 Oct 30, 2009 USD 70,000,00 XS0336645089 57264 Oct 30, 2009 USD 150,000,00 XS0336645089 57266 Oct 30, 2009 USD 150,000,00 XS0325600436 57266 Oct 30, 2009 USD 150,000,00 XS0325786084 57269 Oct 30, 2009 USD 100,000,00 XS0325786084 57269 Oct 30, 2009 USD 210,000,00 XS0327940929 57332 Oct 30, 2009 USD 70,000,00 XS0327940929 57333 Oct 30, 2009 USD 70,000,00 XS0325841388 57333 Oct 30, 2009 USD 70,000,00 XS032586376 57718 Oct 30, 2009 USD 70,000,00 XS032866307 57719 Oct 30, 2009 USD 70,000,00 XS032866307 57719 Oct 30, 2009 USD 70,000,00 XS032866307 57721 Oct 30, 2009 USD 70,000,00 XS032866307 57721 Oct 30, 2009 USD 60,000,00 XS0328663609 57752 Oct 30, 2009 USD 60,000,00 XS03326645089 57752 Oct 30, 2009 USD 60,000,00 XS0337653688 57754 Oct 30, 2009 USD 60,000,00 XS0337653688 57754 Oct 30, 2009 USD 60,000,00 XS0337653688 57754 Oct 30, 2009 USD 60,000,00 XS0331769454 Oct 30, 2009 USD 60,000,00 XS03349512250 60811 Nov 02, 2009 USD 60,000,00 XS03349522860 60815 Nov 02, 2009 USD 60,000,00 XS03349522860 60816 Nov 02, 2009 USD 60,000,00 XS0334769454 60815 Nov 02, 2009 USD 150,000,00 XS0334769454 6086 Nov 02, 2009 USD 150,000,00 XS0334769568 6089 Nov 02, 2009 USD 150,000,00 XS03349511872 60854 Nov 02, 2009 USD 150,000,00 XS03349511872 60854 Nov 02, 2009 USD 150,000,00 XS03349511872 60856 Nov 02, 2009 USD 150,000,00 XS03349511872 60956 Nov 02, 2009 USD 150,			1	1	130,000.00
XS0331604748		1	l'	1	50,000.00
XS0336645089 57264 Oct 30, 2009 USD 150,000,000 XS0326808436 57265 Oct 30, 2009 USD 100,000,000 XS03268084436 57266 Oct 30, 2009 USD 210,000,000 XS0325786084 57269 Oct 30, 2009 USD 210,000,000 XS0325786084 57269 Oct 30, 2009 USD 50,000,000 USD XS0327840929 573332 Oct 30, 2009 USD 70,000,000 XS0327840929 573332 Oct 30, 2009 USD 70,000,000 XS0325841388 57333 Oct 30, 2009 USD 70,000,000 USD XS0326866307 57718 Oct 30, 2009 HKD 500,000,000 USD XS0326866307 57719 Oct 30, 2009 HKD 500,000,000 USD XS0326866307 577719 Oct 30, 2009 HKD 500,000,000 USD 65,000,000 US		1	i ·	JUSD	70,000.00
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XS0336927909 57266 Oct 30, 2009 USD 210,000.00 XS0325786084 57269 Oct 30, 2009 HKD 1,000,000.00 XS0331400027 57270 Oct 30, 2009 USD 50,000.00 XS0327940929 57332 Oct 30, 2009 USD 70,000.00 XS0327940929 57333 Oct 30, 2009 USD 70,000.00 XS0329860376 57718 Oct 30, 2009 HKD 500,000.00 XS0329860376 57719 Oct 30, 2009 HKD 500,000.00 XS0329866307 57719 Oct 30, 2009 HKD 500,000.00 XS0329866376 57721 Oct 30, 2009 HKD 500,000.00 XS0329866376 57721 Oct 30, 2009 HKD 500,000.00 XS0329560376 57721 Oct 30, 2009 USD 65,000.00 XS0339560376 57721 Oct 30, 2009 USD 65,000.00 XS0339560376 57721 Oct 30, 2009 USD 65,000.00 XS03395603676 57751 Oct 30, 2009 USD 60,000.00 XS0339645089 57753 Oct 30, 2009 USD 80,000.00 XS0336645089 57753 Oct 30, 2009 USD 80,000.00 XS0337533686 57754 Oct 30, 2009 USD 80,000.00 XS0331759454 G0815 Nov 02, 2009 USD 30,000.00 XS0331759454 G0816 Nov 02, 2009 USD 30,000.00 XS0331759454 G0816 Nov 02, 2009 USD 30,000.00 XS0331759454 G0816 Nov 02, 2009 USD 210,000.00 XS0331759454 G0816 Nov 02, 2009 USD 150,000.00 XS0334922860 G0836 Nov 02, 2009 USD 150,000.00 XS0331759454 G0846 Nov 02, 2009 USD 150,000.00 XS0334922860 G0836 Nov 02, 2009 USD 150,000.00 XS0334922860 G0852 Nov 02, 2009 USD 150,000.00 XS0334922860 G0853 Nov 02, 2009 USD 150,000.00 XS0334922860 G0854 Nov 02, 2009 USD 150,000.00 XS0334922860 G0855 Nov 02, 2009 USD 150,000.00 No		1	Oct 30, 2009	USD	150,000.00
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AGREEMENT AND EVIDENCE OF TRANSFER OF CLAIM LEHMAN PROGRAM SECURITY

TO: THE DEBTOR AND THE BANKRUPTCY COURT

- For value received, the adequacy and sufficiency of which are hereby acknowledged, THE ROYAL BANK OF SCOTLAND PLC ("Seller") hereby unconditionally and irrevocably sells, transfers and assigns to DEUTSCHE BANK AG, LONDON BRANCH (the "Purchaser"), and Purchaser hereby agrees to purchase, as of the date hereof, (a) an undivided interest, to the extent of the applicable percentage specified in Schedule 1 hereto, in Seller's right, title and interest in and to the Proof of Claim Numbers listed on Schedule 1 filed by or on behalf of Seller (a copy of which is attached at Schedule 2 hereto) (the "Proofs of Claim" and each a "Proof of Claim") as is specified in Schedule I hereto (the "Purchased Portion") against Lehman Brothers Holdings, Inc., as guarantor of the Purchased Security (as defined below) and debtor in proceedings for reorganization (the "Proceedings") in the United States Bankruptcy Court for the Southern District of New York (the "Court"), administered under Case No. 08-13555 (JMP) (the "Debtor"). (b) all rights and benefits of Seller relating to the Purchased Portion, including without limitation (i) any right to receive cash, securities, instruments, interest, damages, penalties, fees or other property, which may be paid or distributed with respect to the Purchased Portion or with respect to any of the documents, agreements, bills and/or other documents (whether now existing or hereafter arising) which evidence, create and/or give rise to or affect in any material way the Purchased Portion, whether under a plan or reorganization or liquidation, pursuant to a liquidation, or otherwise, (ii) any actions, claims (including, without limitation, "claims" as defined in Section 101(5) of Title 11 of the United States Code (the "Bankruptcy Code")), rights or lawsuits of any nature whatsoever, whether against the Debtor or any other party, arising out of or in connection with the Purchased Portion, (iii) any rights and benefits arising out of or in connection with any exhibit, attachment and/or supporting documentation relating to the Purchased Portion, and (iv) any and all of Seller's right, title and interest in, to and under the transfer agreements, if any, under which Seller or any prior seller acquired the rights underlying or constituting a part of the Purchased Portion, but only to the extent related to the Purchased Portion, (c) any and all proceeds of any of the foregoing (collectively, as described in clauses (a), (b), and (c), the "Transferred Claims"), and (d) the security or securities (any such security, a "Purchased Security") relating to the Purchased Portion and specified in Schedule 1 attached hereto. For the avoidance of doubt, Purchaser does not assume and shall not be responsible for any obligations or liabilities of the Seller to or in connection with the Transferred Claim, the Purchased Securities or the Proceedings.
- Seller hereby represents and warrants to Purchaser that: (a) the Proofs of Claim were duly and timely filed on or before 5:00 p.m. (prevailing Eastern Time) on November 2, 2009 in accordance with the Court's order setting the deadline for filing proofs of claim in respect of "Lehman Program Securities"; (b) the Proofs of Claim relates to one or more securities expressly identified on the list designated "Lehman Programs Securities" available on http://www.lehman-docket.com as of July 17, 2009; (c) Seller owns and has good and marketable title to the Transferred Claims, free and clear of any and all liens, claims, set-off rights, security interests, participations, or encumbrances created or incurred by Seller or against Seller; or, alternatively, Seller has been duly authorized to sell, transfer and assign the Transferred Claims by the owner of Transferred Claims who has good and marketable title to the Transferred Claims, free and clear of any and all liens, claims, set-off rights, security interests, participations, or encumbrances created or incurred by such owner or against such owner; (d) Seller is duly authorized and empowered to execute and perform its obligations under this Agreement and Evidence of Transfer: (e) the Proofs of Claim includes the Purchased Portion specified in Schedule 1 attached hereto; (f) Seller has not engaged in, and, to the best of its knowledge, none of Seller's predecessors-in-interest (if any) in the past have engaged in, any acts, conduct or omissions, or had any relationship with the Debtor or its affiliates, that will result in Purchaser receiving in respect of the Transferred Claims proportionately less payments or distributions or less favorable treatment than other unsecured creditors; (g) a true and correct schedule of the 10th, 11th and 12th distributions paid to Seller by Lehman Brothers Holdings, Inc., appears attached hereto as Schedule 2: (h) to the best of its knowledge and based on its understanding of the Proceedings, the Transferred Claims are Class 5 Senior Third Party Guarantee Claims; and (i) a true and correct schedule of the 7th, 8th, 9th, and 10th distributions paid to Seller by Lehman Brothers Treasury Co. B.V. or its authorized agent(s), with respect to the securities relating to the Transferred Claims, appears attached hereto as Schedule 3.
- 3. Seller hereby waives any objection to the transfer of the Transferred Claims to Purchaser on the books and records of the Debtor and the Court, and hereby waives to the fullest extent permitted by law any notice or right to receive notice of a hearing pursuant to Rule 3001(e) of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law, and consents to the substitution of Seller by

Purchaser for all purposes in the case, including, without limitation, for voting and distribution purposes with respect to the Transferred Claims. Purchaser agrees to file a notice of transfer with the Court pursuant to Federal Rule of Bankruptcy Procedure 3001(e) including this Agreement and Evidence of Transfer of Claim. Seller acknowledges and understands, and hereby stipulates, that an order of the Court may be entered without further notice to Seller transferring to Purchaser the Transferred Claims, recognizing Purchaser as the sole owner and holder of the Transferred Claims, and directing that all payments or distributions of money or property in respect of the Transferred Claim be delivered or made to Purchaser.

- 4. All representations, warranties, covenants and indemnities shall survive the execution, delivery and performance of this Agreement and Evidence of Transfer of Claim and the transactions described herein. Purchaser shall be entitled to transfer its rights hereunder without any notice to or the consent of Seller. Seller hereby agrees to indemnify, defend and hold Purchaser, its successors and assigns and its officers, directors, employees, agents and controlling persons harmless from and against any and all losses, claims, damages, costs, expenses and liabilities, including, without limitation, reasonable attorneys' fees and expenses, which result from Seller's breach of its representations and warranties made herein.
- 5. Seller shall promptly (but in any event no later than three (3) business days) remit any payments, distributions or proceeds received by Seller in respect of the Transferred Claims to Purchaser. Seller has transferred, or shall transfer as soon as practicable after the date hereof, to Purchaser each Purchased Security to such account, via Euroclear or Clearstream (or similar transfer method), as Purchaser may designate in writing to Seller. This Agreement and Evidence of Transfer supplements and does not supersede any confirmation, any other automatically generated documentation or any applicable rules of Euroclear or Clearstream (or similar transfer method) with respect to the purchase and sale of the Purchased Security.
- 6. Each of Seller and Purchaser agrees to (a) execute and deliver, or cause to be executed and delivered, all such other and further agreements, documents and instruments and (b) take or cause to be taken all such other and further actions as the other party may reasonably request to effectuate the intent and purposes, and carry out the terms, of this Agreement and Evidence of Transfer, including, without limitation, cooperating to ensure the timely and accurate filing of any amendment to the Proofs of Claim.
- 7. Seller's and Purchaser's rights and obligations hereunder shall be governed by and interpreted and determined in accordance with the laws of the State of New York (without regard to any conflicts of law provision that would require the application of the law of any other jurisdiction). Seller and Purchaser each submit to the jurisdiction of the courts located in the County of New York in the State of New York. Each party hereto consents to service of process by certified mail at its address listed on the signature page below, unless otherwise required by the international treaties governing the service of process to the parties.

IN WITNESS WHEREOF, this AGREEMENT AND EVIDENCE OF TRANSFER OF CLAIM is executed this $\frac{IL_0}{\text{day}}$ of $\frac{JUAE}{2017}$.

THE ROYAL BANK OF SCOTLAND PLC

Name: CHRIS ANDREWS

AUTHORISED SIGNATORY

DEUTSCHE BANK AG, LONDON BRANCH

Alex Darbyshire
Vice President

By: | | Name | | Title: |

Ву:

Name: Title:

> Simon Glennie Director

Winchester House 1, Great Winchester Street London EC2N 2DB ENGLAND

Attn: Michael Sutton

Transferred Claims

Purchased Portion

100% of the claims that are referenced in the Proofs of Claim (as highlighted in the copy of the Proofs of Claim attached at <u>Schedule 2</u>) and relating to the Purchased Securities described in the attached spreadsheet (plus all interest, costs and fees relating to this claim).

See attached spreadsheet, which describes;

- Description of Security Issued by Lehman Brothers Treasury BV
 - ISIN / CUSIP
- Blocking Number
 - - Issuer
- LBHI Claim Number Guarantor
- Principal / Notional Amount
 - Allowed Amount
- Maturity 10th, 11th and 12th Distributions made by LBHI

Description of Security	40.00.00.00.00		٠										
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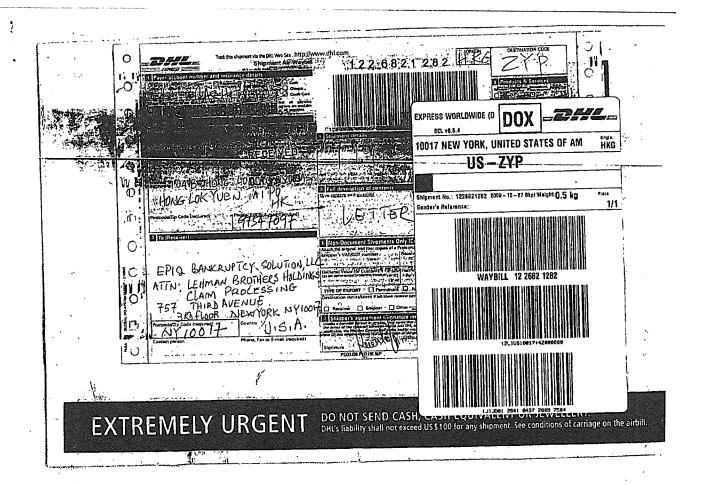
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Schedule 2

Copies of LBHI Proofs of Claim

1

United States Bankruptcy Court/Southern District of New York	
Lehman Brothers Holdings Claims Processing Center c/o Epiq Bankruptcy Solutions, LLC FDR Station, P.O. Box 5076 New York, NY 10150-5076	LEHMAN SECURITIES PROGRAMS PROOF OF CLAIM
In Re: Lehman Brothers Holdings Inc., et al., Debtors. Chapter 1 1 Case No. 08-13555 (JMP) (Jointly Administered)	Filed: USBC - Southorn District of New York Lehman Brothers Holdings Inc., Et Al. 08-13555 (JMP) 0000050340
Note: This form may not be used to file claims other than those based on Lehman Programs Securities as listed on http://www.lehman-docket.com as of July 17, 2009	
Name and address of Creditor: (and name and address where notices should be	
104 B HONG LOK ROAD EAST HONG KON HONG LOK YUEN TAI PO HONG KON	Court Claim Number: (If known)
HONG LOK YUEN (AT PO HONG TOTAL Telephone number: (852) 2652 1099 Email Address: Sam	uel 4 lin egmail Com
Name and address where payment should be sent (if different from above) Telephone number: Email Address:	Check this box if you are aware that anyone else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars
1. Provide the total amount of your claim based on Lehman Programs Securit Programs Securities as of September 15, 2008, whether you owned the Lehman and whether such claim matured or became fixed or liquidated before or after Se dollars, using the exchange rate as applicable on September 15, 2008. If you are you may attach a schedule with the claim amounts for each Lehman Programs Securities.	Programs Securities on September 15, 2008 or acquired them thereafter, prember 15, 2008. The claim amount must be stated in United States filing this claim with respect to more than any Lebester Research
	ns Security described herein, and asserts a claim in an amount to be
Amount of Claim: \$ (as statement above) (Require	ed)
Check this box if the amount of claim includes interest or other charges in a	ddition to the principal amount due on the Lehman Programs Securities
 Provide the International Securities Identification Number (ISIN) for each I this claim with respect to more than one Lehman Programs Security, you may att which this claim relates. 	chron Programs Sagurity to which this allies all the state of the stat
International Securities Identification Number (ISIN): XS0336	927909 (Required)
3. Provide the Clearstream Bank Blocking Number, a Euroclear Bank Electron as appropriate (each, a "Blocking Number") for each Lehman Programs Security Number from your accountholder (i.e. the bank, broker or other entity that holds to more than one Lehman Programs Security, you may attach a schedule with the claim relates.	for which you are filing a claim. You must acquire a Blocking such securities on your behalf). If you are filing this claim with respect Blocking Numbers for each Lehman Programs Security to which this
Clearstream Bank Blocking Number, Euroclear Bank Electronic Instruction number:	Reference Number and or other depository blocking reference
CA46941 (Required)	
4. Provide the Clearstream Bank, Euroclear Bank or other depository participa you are filing this claim. You must acquire the relevant Clearstream Bank, Eurocl accountholder (i.e. the bank, broker or other entity that holds such securities on younders.	Par Hank or other depositors meetiniment as
Accountholders Euroclear Bank, Clearstream Bank or Other Depository Par 89529 (Required)	ticipant Account Number:
5. Consent to Euroclear Bank, Clearstream Bank or Other Depository: By consent to, and are deemed to have authorized, Euroclear Bank, Clearstream Bank disclose your identity and holdings of Lehman Programs Securities to the Debtors	or other denository to
reconciling claims and distributions. Date. Signature: The person filing this claim must sign it. Sign and pr	int company daily is a second
the creditor or other person authorized to file this claim and state number if different from the notice address above. Attach copy of	address and telephone of attorney, if any.
2009 Jelle M SAMUEL LON	EPIQ BANKRUPTCY SOLUTIONS, LLC



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F.0

United States Bankruptcy Court/Souther	rn District of New York		
Lehman Brothers Holdings Claims Proce	essing Center	LEHMAN SEC	CURITIES PROGRA
c/o Epiq Bankruptey Solutions, LLC FDR Station, P.O. Box 5076			OF OF CLAIM
New York, NY 10150-5076			
in Re: Lehman Brothers Holdings Inc., et al.,	Chapter 11 Cuse No. 08-13555 (JMP)	Filed: USBC - South	ern District of New York
Debtors.	(Jointly Administered)	Lehman Brothers	Holdings Inc. Ft At
Note: This form may not be used to	Gladain aller C	00-1355 111 11 118888 88 01 1 2	55 (JMP) 0000050382
based on Lehman Programs Scenri	ities as listed on		
http://www.lchman-docket.com/as	of Joly 17, 2009	1);	
Name and address of Creditor: (and name	and address where notices should be se	ant if different from Creditor)	Check this box to indicate t
MURATA RYOKO & MURATA MITSUAKI	h		claim amends a previously filed
388 Contla D. L. D. L.	ing		Court Claim Number:
MURATA RYOKO & MURATA MITSUAKI Unit D, 12/F, CDW Build 388 Castle Peak Road, 7 Telephone number: 852 9025 39	suen Wan, N.I. Hong	kong	(If known)
852 9025 35	75-7 Email Address;		Filed on:
Name and address where payment should	be sent (if different from above)		☐ Check this box if you are as
			anyone else has filed a proof of a relating to your claim. Attach co
Telephone number:	Email Address:		statement giving particulars
crograms pecarities as of Sebigilloct 12. 7	m based on Lehman Programs Securiti 008, whether you owned the Lehman P	moranie Securities on Contembe	or 15, 2002 or against them them.
and whether such claim matured or becam dollars, using the exchange rate as applica	e fixed or houndaled before or after Sen	tember 15 2009 The claim of	management amounts have been been been a first formal than
you may attach a schedule with the claim a	amounts for each Lehman Programs So	curity to which this claim related	iore man one Lemman Programs S
Creditor holds USD 350, 000 in aggre	gate face value of the Lehman Program	s Security described barrie and	I proceed to refer the state of
determined.		a need of action and notest and	asserts a ciauti ut all alliount (ci ot
Amount of Claim: \$(as state	ment above) (Required	t)	
	•		
 Check this box if the amount of claim Provide the International Securities In 	dentification Number (ISIN) for each L.	ehman Programs Security to wh	ich this alaim salmen IC
this claim with respect to more than one I a	chman Programs Security, you may atta	ch a schedule with the ISINs for	the Lehman Programs Securities
International Securities Identification N			(Required)
3. Provide the Clearstream Bank Blocki	ng Number, a Euroclear Bank Electroni	c Reference Number, or other d	epository blocking reference num
as appropriate (each, a "Blocking Number" Number from your accountholder (i.e. the k	120K. Broker of other catity that holds si	teh vecurities an your bahall). It	"anne and billion thin alaba militar and
to more than one Lehman Programs Securi	y, you may attach a schedule with the I	Blocking Numbers for each Lehi	man Programs Security to which t
Clearstream Bank Blocking Number, Eunumber:	roclear Bank Electronic Instruction	Reference Number and or other	er depository blocking reference
CA55035	(Required)		
4. Provide the Clearstream Bank, Euroel	ear Bank or other depository participan	secount number related to your	r Lahman Bragrams Constition for
tog are mare may diamit. Log man acdulic	ine reievani Caearstream Hank, Furoclo	ar Hank or other devocations were	Commence of the Contract of th
accountholder (i.e. the bank, broker or other	critity that holds such securities on you	ir behalf). Beneficial holders she	ould not provide their personal act
Accountholders Euroclear Bank, Clearst	sing on Pauls on Oak on Pauls in Paul	tation and the second	
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the creditor or other person	om oom valuu must sikit it. Jiri and drif	is maine and title, if any, of	
	authorized to file this claim and state a e notice address above. Attach copy of	eddress and relephone	OCT 28 2009

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P. 6

INSTRUCTIONS FOR PROOF OF CLAIM FORM

The questions on the Proof of Claim form include instructions for completing each question. The instructions and definitions below are general. of the law. In certain circumstances, such as bankruptcy cases not filed voluntarity by the debtor, there may be exceptions to these general

Creditor's Name and Address:

Fill in the name of the person or entity asserting a claim and the name and address of the person who should receive notices issued during the bankruptcy case. A separate space is provided for the payment address if it differs from the notice address. The creditor has a continuing obligation to keep the court informed of its current address. See Federal Rule of Bankruptcy Procedure (FRBP) 2002(g).

Date and Signature:

The person filing this proof of claim must sign and date it 9011. Print the name and fitle, if any, of the creditor or of person authorized to file this claim. State the filer's addre telephone number if it differs from the address given on t the form for purposes of receiving notices. Attach a comp of any power of attorney. Criminal penalties apply for ma false statement on a proof of claim.

DEFINITIONS

A debtor is the person, corporation, or other entity that has filed a bankruptcy case.

Creditor

A creditor is the person, corporation, or other entity owed a debt by the debter on the date of the bankruptcy filing.

A claim is the creditor's right to receive payment on a debt that was owed by the debtor on the date of the hankruptcy filing. See 11 U.S.C. §101 (5). A claim may be secured or unsecured, reduced to judgment or not, liquidated or unliquidated. fixed, contingent, matured, unmatured, disputed. undisputed, legal or equitable

Proof of Claim

A proof of claim is a form used by the creditor to indicate the amount of the debt owed by the debtor on the date of the bankruptcy filing. The creditor must file the form with the Clauns Agent at the following address:

Lehman Brothers Holdings Claims Processing co Epiq Bankruptey Solutions, LLC FDR Station, PO Box 5076 New York, NY 10150-5076

Lehman Programs Security

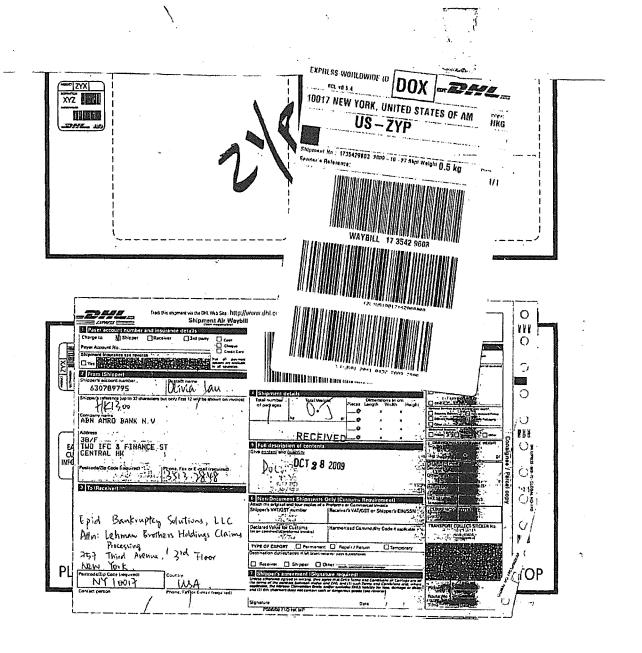
Any security included on the list designated "Lehman Programs Securities" available on http://www.ichman-docket.com as of July 17, 2009.

INFORMATION

Acknowledgment of Filing of Claim To receive acknowledgment of your filir may either enclose a stamped self-addres envelope and a copy of this proof of clau may access the Claims Agent's system (http://www.lchman-docket.com) to viev filed proof of claim.

Offers to Purchase a Claim

Certain entities are in the husiness of pur claims for an amount less than the face v the claims. One or more of these entities contact the creditor and offer to purchase claim. Some of the written communicatic these entities may easily he confused will court documentation or communications debtor. These entities do not represent the bankruptcy court or the debtor. The credit no obligation to sell its claim. However, i creditor decides to sell its claim, any transuch claim is subject to FRBP 3001(e), ar applicable provisions of the Bankruptcy (U.S.C. § 101 et seq.), and any applicable. the bankruptcy court.



4.	
United States Bankruptcy Court/Southern District of New York Lehman Brothers Holdings Claims Processing Center	LEHMAN SECURITIES PROGRAMS
c/o Epiq Bankruptcy Solutions, LLC	
FDR Station, P.O. Box 5076	PROOF OF CLAIM
New York, NY 10150-5076	
In Re: Chapter 11 Lehman Brothers Holdings Inc., et al., Case No. 08-13555 (JMP)	Filed: USBC - Southern District of New York
Debtors. (Jointly Administered)	Lehman Brothers Holdings Inc., Et Al.
	08-13555 (JMP) 0000050669
Note: This form may not be used to file claims other than those	
based on Lehman Programs Securities as listed on	
http://www.lehman-docket.com as of July 17, 2009	
Name and address of Creditor: (and name and address where notices should be ser	
YUE WAI CHUN	claim amends a previously filed claim.
1409 HING CHUNG HOUSE, MEI CHUNG COURT, 7	Al WAI, N.T., Court Claim Number:
HONG KONG	(If known)
Telephone number: (+852) 90260172 Email Address: ft_wa	Walland com hk
Name and address where payment should be sent (if different from above)	
Name and address where payment should be sent (if different from above)	Check this box if you are aware that
1	anyone else has filed a proof of claim relating to your claim. Attach copy of
	statement giving particulars
Telephone number: Email Address:	
1. Provide the total amount of your claim based on Lehman Programs Securitie	s. Your claim amount must be the amount owed under your Lehman
Programs Securities as of September 15, 2008, whether you owned the Lehman Pr	ograms Securities on September 15, 2008 or acquired them thereafter
and whether such claim matured or became fixed or liquidated before or after Sept dollars, using the exchange rate as applicable on September 15, 2008. If you are fil	cmber 15, 2008. The claim amount must be stated in United States
you may attach a schedule with the claim amounts for each Lehman Programs Sec	urity to which this claim relates.
]	
Creditor holds HKD 1, 000, 000 (equivalent to USD 128, 363. 11 at the conversion	rate of USD/HKD 7.7904 as at September 15, 2008) in aggregate face
value of the Lehman Programs Security described herein, and asserts a claim in an	amount to be determined.
Amount of Claim: \$ (as statement above) (Required)
The Charlest and the second se	
 Check this box if the amount of claim includes interest or other charges in add Provide the International Securities Identification Number (ISIN) for each 1. 	lition to the principal amount due on the Lehman Programs Securities.
2. Provide the International Securities Identification Number (ISIN) for each Le this claim with respect to more than one Lehman Programs Security, you may attact.	than Programs Security to which this claim relates. If you are filing
which this claim relates.	and a seriodule with the 15 has for the Lemman Programs Securities to
International Securities Identification Number (ISIN): XS03349	(Required)
3. Provide the Clearstream Bank Blocking Number, a Euroclear Bank Electronic	c Reference Number, or other depository blocking reference number,
as appropriate (each, a "Blocking Number") for each Lehman Programs Security for	or which you are filing a claim. You must acquire a Blocking
Number from your accountholder (i.e. the bank, broker or other entity that holds so to more than one Lehman Programs Security, you may attach a schedule with the F	ich securities on your behalf). If you are filing this claim with respect
claim relates.	blocking Numbers for each Lemman Programs Security to which this
Clause P. L.	
Clearstream Bank Blocking Number, Euroclear Bank Electronic Instruction in number:	Reference Number and or other depository blocking reference
CA46765 (Required)	
 Provide the Clearstream Bank, Euroclear Bank or other depository participan you are filing this claim. You must acquire the relevant Clearstream Bank, Eurocle 	t account number related to your Lehman Programs Securities for which
accountholder (i.e. the bank, broker or other entity that holds such securities on you	ar behalf). Beneficial holders should not provide their personal account
numbers.	provide man personal account
Account halders Furnalism Bank Classican Bank and Catalan Bank	
Accountholders Euroclear Bank, Clearstream Bank or Other Depository Pari	cipant Account Number:
5. Consent to Euroclear Bank, Clearstream Bank or Other Depository: By	filing this claim, you FOR COURT USE ONLY
consent to, and are deemed to have authorized, Euroclear Bank, Clearstream Bank	or other denository to
disclose your identity and holdings of Lehman Programs Securities to the Debtors reconciling claims and distributions.	or the purpose of FILED / RECEIVED
1 Date. 1 Signature: The nerson filing this claim must come it Come and and	nt name and title if any of
the creditor or other person authorized to file this claim and state	nt name and title, if any, of
the creditor or other person authorized to file this claim and state number if different from the potice address above. Attach copy of	nt name and title, if any, of address and telephone
the creditor or other person authorized to file this claim and state number if different from the otice address above. Attach copy of	nt name and title, if any, of
the creditor or other person authorized to file this claim and state	nt name and title, if any, of

INSTRUCTIONS FOR PROOF OF CLAIM FORM

The questions on the Proof of Claim form include instructions for completing each question. The instructions and definitions below are general explanations of the law. In certain circumstances, such as bankruptcy cases not filed voluntarily by the debtor, there may be exceptions to these general rules.

Creditor's Name and Address:

Fill in the name of the person or entity asserting a claim and the name and address of the person who should receive notices issued during the bankruptcy case. A separate space is provided for the payment address if it differs from the notice address. The creditor has a continuing obligation to keep the court informed of its current address. See Federal Rule of Bankruptcy Procedure (FRBP) 2002(g).

Date and Signature:

The person filing this proof of claim must sign and date it. FRBP 9011. Print the name and title, if any, of the creditor or other person authorized to file this claim. State the filer's address and telephone number if it differs from the address given on the top of the form for purposes of receiving notices. Attach a complete copy of any power of attorney. Criminal penalties apply for making a false statement on a proof of claim.

DEFINITIONS

Debto

A debtor is the person, corporation, or other entity that has filed a bankruptcy case.

Creditor

A creditor is the person, corporation, or other entity owed a debt by the debtor on the date of the bankruptcy filing.

Claim

A claim is the creditor's right to receive payment on a debt that was owed by the debtor on the date of the bankruptcy filing. See 11 U.S.C. §101 (5). A claim may be secured or unsecured, reduced to judgment or not, liquidated or unliquidated, fixed, contingent, matured, unmatured, disputed, undisputed, legal or equitable

Proof of Claim

A proof of claim is a form used by the creditor to indicate the amount of the debt owed by the debtor on the date of the bankruptcy filing. The creditor must file the form with the Claims Agent at the following address:

Lehman Brothers Holdings Claims Processing c/o Epiq Bankruptcy Solutions, LLC FDR Station, PO Box 5076 New York, NY 10150-5076

Lehman Programs Security

Any security included on the list designated "Lehman Programs Securities" available on http://www.lehman-docket.com as of July 17, 2009.

INFORMATION

Acknowledgment of Filing of Claim
To receive acknowledgment of your filing, you
may either enclose a stamped self-addressed
envelope and a copy of this proof of claim, or you
may access the Claims Agent's system
(http://www.lehman-docket.com) to view your

Offers to Purchase a Claim

filed proof of claim.

Certain entities are in the business of purchasing claims for an amount less than the face value of the claims. One or more of these entities may contact the creditor and offer to purchase the claim. Some of the written communications from these entities may easily be confused with official court documentation or communications from the debtor. These entities do not represent the bankruptcy court or the debtor. The creditor has no obligation to sell its claim. However, if the creditor decides to sell its claim, any transfer of such claim is subject to FRBP 3001(e), any applicable provisions of the Bankruptcy Code (11 U.S.C. § 101 et seq.), and any applicable orders of the bankruptcy court.

United States Bankruptcy Court Southern District of New York Lehman Brothers Holdings Claims Processing Center C/o Epiq Bankruptcy Solutions, LLC 757 Third Avenue, 3rd Floor New York, NY 10017 USA

Dear Sirs,

Re: Lehman Brothers Holdings Inc ("LBHi") Chapter 11 Case No. 08-13555 (JMP)

REVISED LEHMAN PROGRAM SECURITIES PROOF OF CLAIM – HKD DENOMINATED LEHMAN HOLDING

Please find enclosed Lehman Program Securities Proof of Claim/s ("Proof of Claim") with Clearstream Bank Blocking Number/s below:

CA46765

The enclosed Proof of Claim/s contain the correct USD/HKD conversion rate as at 15 September 2008 and shall supersede any Proof of Claim with the same Clearstream Bank Blocking Number/s filed previously.

If you have any questions, or require any further information, please do not hesitate to contact me/us using the contact details stated in the enclosed revised Proof of Claim.

Yours faithfully,

Name/s:

YUE WAI CHUN

Signature/s:

Date:

22/10/2009

Enc.

敬啓者	:	
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雷曼兄弟控股有限公司 (「雷曼兄弟」) (Leman Brothers Holdings Inc. ("LBHI")) 第 11 章 檔案編號: 08-13555 (JMP)

修正雷曼計劃證券索償證明-港元認購的雷曼證券修正雷曼計劃證券索償證明

随函附上結算參考編號為 [請參閱背頁] 之雷曼計劃證券索償證明 (「索償證明」)。現附上之索償證明職有截至 2008 年 9 月 15 日的正確美元兌港元兌換率,並將取代早前可能已提交的任何同樣結算參考編號之索償證明。

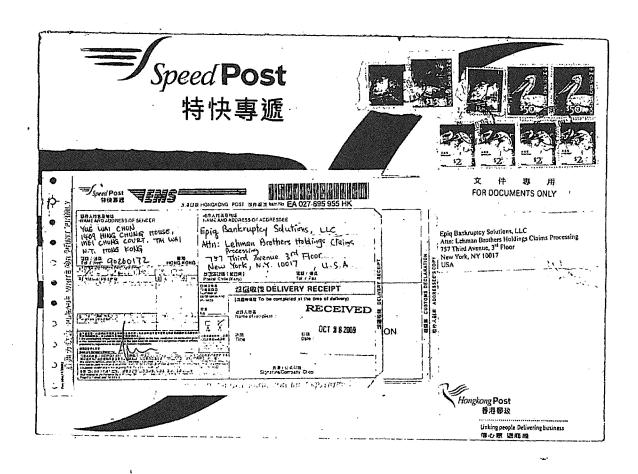
如有任何疑問或需提供更多資料,請參照附奉索償證明上的聯絡資料與我/我們聯絡。

客戶姓名:____[已列印於英文版本之信函上]________[已列印於英文版本之信函上]

客戶簽署: [請於英文版本之信函上簽署] [請於英文版本之信函上簽署]

日期: [請於英文版本之信函上塡寫]

附件



United States E	Sankruptcy Court/Southe	rn District of New York			
		ssing Center	PROC	URITIES PROGRAMS OF OF CLAIM	
In Re:	rs Holdings Inc., et al.,	Chapter 11 Case No. 08-13555 (JMP) (Jointly Administered)	Filed: USBC - Southe Lehman Brothers 08-1355:	rn District of New York Holdings Inc., Et Al. 5 (JMP) 0000050678	
based on Leh	man Programs Secur	o file claims other than those ities as listed on	MIN STALE		
	<u>diman-docket.com</u> as		· · · · · · · · · · · · · · · · · · ·	TORCOOK! GOD D	
CHAO CHITITIN	r	e and address where notices should be s	•	Check this box to indicate that this claim amends a previously filed claim.	
305 LO YAWBU Telephone num	NG YANG NOK TOWN NANHAI	CITY GUANG PING V CITY GUANG DONG CHI 36277 Email Address: SCL	ILLAGE NA 1985 Q 146 · Com	Court Claim Number:(If known)	
Name and addr	ess where payment should	be sent (if different from above)	1112019000	Filed on: Check this box if you are aware that	
Telephone num				anyone else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars	
		Email Address:			
and whether suc dollars, using th	1. Provide the total amount of your claim based on Lehman Programs Securities. Your claim amount must be the amount owed under your Lehman Programs Securities as of September 15, 2008, whether you owned the Lehman Programs Securities on September 15, 2008 or acquired them thereafter, and whether such claim matured or became fixed or liquidated before or after September 15, 2008. The claim amount must be stated in United States dollars, using the exchange rate as applicable on September 15, 2008. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the claim amounts for each Lehman Programs Security to which this claim relates.				
Creditor holds t determined.	JSD 140, 000 in aggr	egate face value of the Lehman Program	ns Security described herein, and	d asserts a claim in an amount to be	
Amount of Cla	Amount of Claim: \$(as statement above) (Required)				
Check this box if the amount of claim includes interest or other charges in addition to the principal amount due on the Lehman Programs Securities.					
2. Provide the International Securities Identification Number (ISIN) for each Lehman Programs Security to which this claim relates. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the ISINs for the Lehman Programs Securities to which this claim relates.					
International Securities Identification Number (ISIN): XS0331400530 (Required)					
3. Provide the Clearstream Bank Blocking Number, a Euroclear Bank Electronic Reference Number, or other depository blocking reference number, as appropriate (each, a "Blocking Number") for each Lehman Programs Security for which you are filing a claim. You must acquire a Blocking Number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the Blocking Numbers for each Lehman Programs Security to which this claim relates.					
Clearstream Bank Blocking Number, Euroclear Bank Electronic Instruction Reference Number and or other depository blocking reference number: CA31923 (Required)					
4. Provide the		(Required)			
4. Provide the Clearstream Bank, Euroclear Bank or other depository participant account number related to your Lehman Programs Securities for which you are filing this claim. You must acquire the relevant Clearstream Bank, Euroclear Bank or other depository participant account number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). Beneficial holders should not provide their personal account numbers.					
Accountholders	Euroclear Bank, Clear	stream Bank or Other Depository Pa	rticipant Account Number:		
	89529	(Required)			
disclose your ide reconciling clain	are deemed to have author entity and holdings of Leh ns and distributions,	stream Bank or Other Depository: By ized, Euroclear Bank, Clearstream Banl man Programs Securities to the Debtors	t or other depository to for the purpose of	FILED / RECEIVED	
Date.	the creditor or other pers	iling this claim must sign it. Sign and proon authorized to file this claim and state the notice address above. Attach copy of the notice address above.	address and telephone	OCT 2 ¹ 8 2009	
JUL. 61 . Prob	In the			EPIE BANKRUTTET SULCTIONS, LEG	

INSTRUCTIONS FOR PROOF OF CLAIM FORM

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Lehman Programs Security

Any security included on the list designated "Lehman Programs Securities" available on http://www.lehman-docket.com as of July 17, 2000

INFORMATION

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Offers to Purchase a Claim

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Royal Preferred Banking



ABN AMRO Bank N.V.

SHAO CUILING 305 RONG YANG NORTH ST YONG PING VILLAGE YANBU TOWN NANHAI CITY GUANGDONG CHINA

Contact: JASON CHUN (CWB)

聯絡人

Telephone: 22022192

電話號碼

Date: 08/11/07

日期

Contract Note - Structured Product Subscription 結構性產品認購交易單據

The details of transaction are as follows: 交易詳情如下:

Investment A/C No. 投資帳戶號碼

Product Name 產品名稱

9812992

: LB 2Y USD Airbag Range Accrual Note S891

23NOV09 : 07PLE891QU

Product / ISIN Code 產品/國際證券號碼

Issuer 發行商 Underlying 相關資產 : LEHMAN BROTHERS TREASURY CO BV

: CHINA CONSTRUCTION BANK CORP - H CHINA MOBILE (HONG KONG) LTD

CHINA SHENHUA ENERGY CO LTD -H

Coupon Rate (%) 票面息率

Trade Date 交易日 Issue Date 發行日 Maturity Date 到期日

Nominal Amount 面額 Purchase Price (%) 購入價百分比

Purchased Amoun 購入金額

Commission 佣金 Total Settlement Amount 總結算金額

Settlement A/C No. 結算帳戶號碼 Settlement Date 結算日

: Please refer to the Term Sheet (其細節載於條件單)

: 08/11/07 : 23/11/07 : 23/11/09

: USD 140,000.00

: 100.00

: USD 140,000.00 : USD 700.00 : USD 140,700.00

: 0120140039 : 23/11/07

- ABN AMRO N.V.* (the "Bank") is acting as principal in the above-mentioned transaction

- This Contract Note should be read in conjunction with the product termsheet of the Structured Product

·荷蘭銀行(下隔「本銀行」)將上並交易之主事人。

- 此政武也必須與產品條款單張一併閱讀。

This is computer-generated document, no signature is required. 此乃電腦系統所發之文件,母須簽署。

Remarks:

- As soon as practicable after the Bank has entered into a Transaction with or for your Account, the Bank may send to you an advice, contract note
 or confirmation of such Transaction. Such advice, contract note or confirmation is provided by the Bank for record purposes only. Any Instructions
 given or authorised, if accepted by the Bank, are accepted at the time of the same being given or authorised and not at the time of the issue of
 the contract note or confirmation.
- 2. You shall examine all advices, contract notes or confirmations as soon as you receive them and if you do not receive them within 7 days of entering into a Transaction, you shall immediately notify the Bank and request a copy. Except for discrepancies of which you notify the Bank within 90 days from the date of such advice, contract note or confirmation, the Bank's records shall be deemed correct and binding on you (subject to the Bank's right to adjust, which may be exercised by the Bank at any time, any entries in the Account or details in the advice, contract note or confirmation where they have been wrongly or mistakenly made by the Bank).
- If you make any Transaction relating to or otherwise adopt by conduct, the Transaction recorded in such advice, contract note or confirmation, the recorded Transaction is treated as conclusive against you notwithstanding the relevant period for raising objection has not expired.
- 4. If you change your mailing address, kindly notify ABN AMRO Bank N.V. in writing.
- The price of investment products can and does fluctuate, and that any individual investment products may experience upward or downward movements, and may even become valueless. There is an inherent risk that losses may be incurred rather than profit made as a result of buying and selling investment products.
- Except as otherwise indicated in the offering materials for a particular investment, investments are not deposits or other obligations of, or guaranteed or
 insures by ABN AMRO Bank N.V. or any of their affiliates or subsidiaries, or by any local government or insurance agency, and are subject to investment
 risks, including possible loss of the principal amount invested.
- 7. Securities Trading Hours
 - Hong Kong Stocks: Monday to Friday, 10:00a.m. to 12:30 p.m., 2:30 p.m. to 4:00 p.m. Asian Stocks: Monday to Friday, 9:00 a.m. to 5:00 p.m. (Hong Kong Business Day)
- 8. ABN AMRO Bank N.V. Incorporated in Netherlands with limited liability.
- 9. ABN AMRO Bank N.V. address: 17/F Lincoln House, Taikoo Place, 979 King's Road, Quarry Bay, Hong Kong
- 10. ABN AMRO Bank N.V. acts as an intermediary unless specified. ABN AMRO (Hong Kong) Nominees Ltd. (a 100% owned subsidiary of ABN AMRO Bank N.V. providing custodian and nominees services) acts as an associated entity of the intermediary.
- 11. In case of discrepancy between the English and Chinese version, the English version shall prevail.

備註

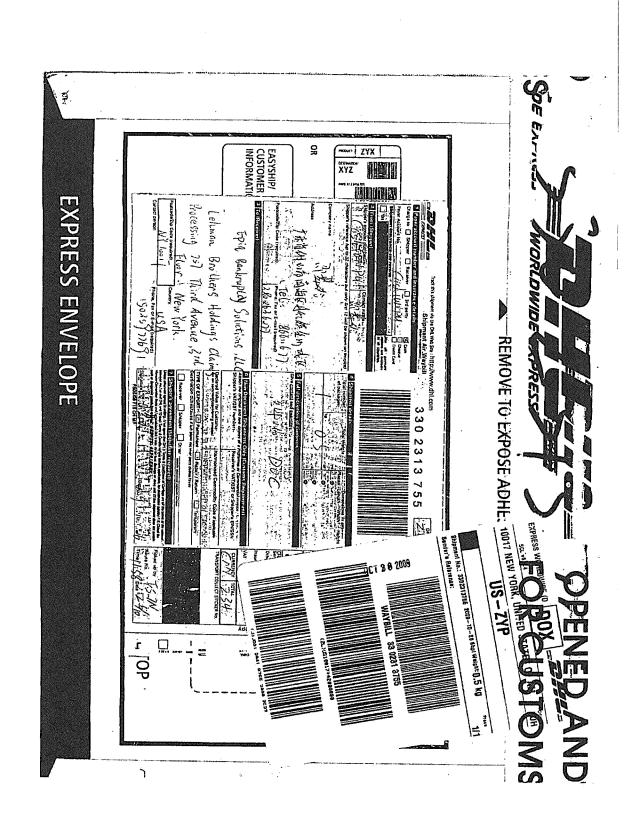
- 本銀行與你的關戶或為你的服戶進行一項交易後,將在可行情況下盡快將交易單據、合約單據或交易確認查送交給你。本銀行提供的此等交易單據、合約單據或確認查只作記錄之用。客戶發出或授權發出的指示,一經本銀行接受,即以發出或授權發出的時候(而非有關交易單據或確認查發出的時間)為接受指示的時間。
- 2. 你收到任何交易單據、合約單據或確認實時須立即核對:若你在訂立交易後7天內仍未收到此等交易單據、合約單據或確認實,你須立即通知本银行,並要求一份副本。除非你在此交易單據、合約單據或確認費日期起90天內,通知本銀行任何錯誤,本銀行的記錄即被視為正確,並對你有約束力(但本銀行有權調整具錯誤作出的任何幾戶登錄或交易單據、合約單據或確認費資料,而本銀行可隨時行使此項權利)。
- 若你進行獲此等交易單據,合約單據或確認費上記錄的交易或通過行為採納此等交易,則此等已記錄的交易對你而官是國於確定性的,而不論提出異議的有 關期限是否已屆滿。
- 4. 關下之通訊地址如有更改。請以唐面通知本行。
- 5. 投資產品價格可能會及確實會波動,而且任何一隻投資產品的價格都可能會上升及下降,甚至變成驀無價值。質賣投資產品有其內在的風險,所以未切一定能夠騰取利潤,反而可能會招致損失。
- 6. 投資並非一般存款,且存在風險,包括損失本金。再者,一般投資並未獲得ABN AMRO Bank N.V.或其任何附屬或聯營公司,或任何國家之當地政府,或保險公司的保證或撤保,除非其保證已列明於有關之認與章程中。
- 7. 股票交易時間
 - 港股:星期一至星期五,早上十時至下午十二時三十分,下午二時三十分至下午四時正 亞洲股票:星期一至星期五,早上九時至下午五時(香港辦公日)
- 8. 荷蘭銀行是一家於荷蘭註冊成立的有限責任公司。
- 9. 荷蘭銀行地址:香港關魚涌英星道979號太古坊林肯大廈17樓
- 10. 如非特別指明,荷蘭銀行將作為中介者。ABN AMRO (Hong Kong) Nominees Ltd.(從事提供託管及代理服務的荷蘭銀行全資附屬公司)作為中介者的駃點實體。
- 11. 中文譯本如含義與英文有異,則以英文為準。

KatharineCheung Clearstream Banking - CreationOnline 6:39 AM CET

Security Post Release	
Trade Details	
Type of Settlement Transaction	Securities Blocking
Pool Reference	
Function of Message	New Message
Instruction Type	Receive Free
Activity-Status	Settlement-Settled
ISIN Code	XS0331400530
Common Code	033140053
Financial Instrument Description	USD FL.R LEHMAN BROS.TREAS 07-2009
Place of Safekeeping	IRVTGB2XGPT
Common Code Type	Single
Reason-Narrative	7
Sender's Reference	NONREF
Last Update	10/7/09 12:54 PM
Previous Reference	
Deal Reference	
Common Reference	
Safekeeping Account	89529 - ABN AMRO (HK) NOMCONS, BKING CLT
Quantity of Financial Instrument	140,000
Quantity Type	Face Amount
Processing Indicator	T doo / Thought
Requested Settlement Date	10/7/09
Trade Date/Time	10/7/09
Closing Date	1011/04
Priority	Name I Date day
Settlement Amount	Normal Priority
Currency Guaranteed Delivery	
	No
Deal Price Deal Price Currency	
Deal Price Format Taxable Income Per Share	
Taxable Income Per Share Currency	
Taxable Income Per Share Format	
FX Currency	
Delivery Without Matching	Yes
Deal	
Trano	CA31923
Common	
Transaction Indicator	Settlement Activity
Actual Settled Amount	
Exp./Eff. Settlement Date	10/7/09
Exp./Eff. Value Date	
Best Matching Instruction	
Settlement Parties	
Clearstream Depository	[6B] BKNY MELLON, LDN
BIC Address	IRVTGB2XGPT
Place of Settlement	CEDELULLXXX - Clearstream
Physical Transaction	No
Immediate Release	No
Delivering Agent	Clearstream
Party	89529
Description	UNPUBLISHED
Settlement parties :	
Marketta Bayla	01 , 00000

KatharineCheung Clearstream Banking - CreationOnline 6:39 AM CET

Settlement Processing Narrative	CONSBLOK 000033140053	OCE CONSENT	YOUR INX
Additional Information			
Input Media	Internal		· · · · · · · · · · · · · · · · · · ·
References:			
Туре	Reference	·	
Clearstream	02LZS20000FN	· · · · · · · · · · · · · · · · · · ·	
CANotification	.000000065490590		



United States	Bankruptcy Court/Southe	rn District of New York		
		ssing Center	PROC	URITIES PROGRAMS OF OF CLAIM
In Re:		Chapter 11	Filed: USBC - Southern	District of New York
Lehman Broth Debtors.	ers Holdings Inc., et al.,	Case No. 08-13555 (JMP) (Jointly Administered)	Lehman Brothers Hol 08-13555 (ump) 000050684
Note: This for based on Le	orm may not be used to aman Programs Secur <u>chman-docket.com</u> as	o file claims other than those ities as listed on		COURT USE ONLY
Name and add	ress of Creditor: (and nam	e and address where notices should be se	nt if different from Creditor)	☐ Check this box to indicate that this
HE XINGZHI		VST YONG PING VILL		claim amends a previously filed claim.
NANE	1AL CITY GUAR	GOONG PROVINCE CH	DAY.	Court Claim Number: (If known)
Telephone nun	iber: 40/3128 - 9	12138 Email Address: Scl 15	PET TO AL COM	
Name and add	ress where navment should	be sent (if different from shous))3 (B) 100 . COM	Filed on:
Telephone nun				Check this box if you are aware that anyone else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars
		Email Address:		
1. Provide the total amount of your claim based on Lehman Programs Securities. Your claim amount must be the amount owed under your Lehman Programs Securities as of September 15, 2008, whether you owned the Lehman Programs Securities on September 15, 2008 or acquired them thereafter, and whether such claim matured or became fixed or liquidated before or after September 15, 2008. The claim amount must be stated in United States dollars, using the exchange rate as applicable on September 15, 2008. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the claim amounts for each Lehman Programs Security to which this claim relates. Creditor holds USD 170, 000 in aggregate face value of the Lehman Programs Security described herein, and asserts a claim in an amount to be				
determined.			* ***	and an
Amount of Cla	nim: \$(as stat	ement above) (Require	d)	
☑ Check this	box if the amount of clair	n includes interest or other charges in ad	dition to the principal amount d	tie on the Lehman Programs Sequeities
 Check this box if the amount of claim includes interest or other charges in addition to the principal amount due on the Lehman Programs Securities. Provide the International Securities Identification Number (ISIN) for each Lehman Programs Security to which this claim relates. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the ISINs for the Lehman Programs Securities to which this claim relates. 				
International !	Securities Identification 1	Number (ISIN): XS03314	00027	(Required)
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	CA31925	(Required)		
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Accountholders Euroclear Bank, Clearstream Bank or Other Depository Participant Account Number:				
	89529	(Required)		
disclose your id	are deemed to have author	stream Bank or Other Depository: By ized, Euroclear Bank, Clearstream Bank man Programs Securities to the Debtors	or other denository to	FILED / RECEIVED
Date.	Signature: The person f the creditor or other pers number if different from	iling this claim must sign it. Sign and pron authorized to file this claim and state the notice address above. Attach copy o	address and telephone	OCT 2:8 2009
	•			

INSTRUCTIONS FOR PROOF OF CLAIM FORM

The questions on the Proof of Claim form include instructions for completing each question. The instructions and definitions below are general explanations of the law. In certain circumstances, such as bankruptcy cases not filed voluntarily by the debtor, there may be exceptions to these general rules.

Creditor's Name and Address:

Fill in the name of the person or entity asserting a claim and the name and address of the person who should receive notices issued during the bankruptcy case. A separate space is provided for the payment address if it differs from the notice address. The creditor has a continuing obligation to keep the court informed of its current address. See Federal Rule of Bankruptcy Procedure (FRBP) 2002(g).

Date and Signature:

The person filing this proof of claim must sign and date it. FRBP 9011. Print the name and title, if any, of the creditor or other person authorized to file this claim. State the filer's address and telephone number if it differs from the address given on the top of the form for purposes of receiving notices. Attach a complete copy of any power of attorney. Criminal penalties apply for making a false statement on a proof of claim.

DEFINITIONS

A debtor is the person, corporation, or other entity that has filed a bankruptcy case.

Creditor

A creditor is the person, corporation, or other entity owed a debt by the debtor on the date of the bankruptcy filing.

Claim

A claim is the creditor's right to receive payment on a debt that was owed by the debtor on the date of the bankruptcy filing. See 11 U.S.C. §101 (5). A claim may be secured or unsecured, reduced to judgment or not, liquidated or unliquidated, fixed, contingent, matured, unmatured, disputed, undisputed, legal or equitable

Proof of Claim

A proof of claim is a form used by the creditor to indicate the amount of the debt owed by the debtor on the date of the bankruptcy filing. The creditor must file the form with the Claims Agent at the following address:

Lehman Brothers Holdings Claims Processing c'o Epiq Bankruptcy Solutions, LLC FDR Station, PO Box 5076 New York, NY 10150-5076

Lehman Programs Security

Any security included on the list designated "Lehman Programs Securities" available on http://www.lehman-docket.com as of July 17,

INFORMATION

Acknowledgment of Filing of Claim

To receive acknowledgment of your filing, you may either enclose a stamped self-addressed envelope and a copy of this proof of claim, or you may access the Claims Agent's system (http://www.lehman-docket.com) to view your filed proof of claim.

Offers to Purchase a Claim

Certain entities are in the business of purchasing claims for an amount less than the face value of the claims. One or more of these entities may contact the creditor and offer to purchase the claim. Some of the written communications from these entities may easily be confused with official court documentation or communications from the debtor. These entities do not represent the bankruptcy court or the debtor. The creditor has no obligation to sell its claim. However, if the creditor decides to sell its claim, any transfer of such claim is subject to FRBP 3001(e), any applicable provisions of the Bankruptcy Code (11 U.S.C. § 101 et seq.), and any applicable orders of the bankruptcy court.

			
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			0.55 1 H/1 CE 1

Security Post Release	
Trade Details	
Type of Settlement Transaction	Securities Blocking
Pool Reference	
Function of Message	New Message
Instruction Type	Receive Free
Activity-Status	Settlement-Settled
ISIN Code	XS0331400027
Common Code	033140002
Financial Instrument Description	USD FL.R LEHMAN BROS.TREAS 07-2009
Place of Safekeeping	IRVTGB2XGPT
Common Code Type	Single
Reason-Narrative	
Sender's Reference	NONREF
Last Update	10/7/09 12:55 PM
Previous Reference	197700 12001111
Deal Reference	
Common Reference	
Safekeeping Account	89529 - ABN AMRO (HK) NOMCONS. BKING CLT
Quantity of Financial Instrument	170,000
Quantity Type	Face Amount
Processing Indicator	Jaconnoval,
Requested Settlement Date	10/7/09
Trade Date/Time	10/7/09
Closing Date	10/709
Priority	M
Settlement Amount	Normal Priority
Currency	
Guaranteed Delivery	
	No
Deal Price	
Deal Price Currency	
Deal Price Format	
Taxable Income Per Share	
Taxable Income Per Share Currency	
Taxable Income Per Share Format	
FX Currency	
Delivery Without Matching	Yes
Deal	
Trano	CA31925
Common	
Transaction Indicator	Settlement Activity
Actual Settled Amount	
Ехр./Eff. Settlement Date	10/7/09
Exp./Eff. Value Date	
Best Matching Instruction	2
Settlement Parties	
Clearstream Depository	[6B] BKNY MELLON, LDN
BIC Address	IRVTGB2XGPT
Place of Settlement	CEDELULLXXX - Clears tream
Physical Transaction	No
Immediate Release	No
Delivering Agent	Clearstream
Party	89529
Description	UNPUBLISHED
Settlement parties :	
Receiving Acent	Clarenteem DOESIO

KatharineCheung	01	
[Namai mcCheung	Clearstream Banking - CreationOnline	6-20 AM CET
	Creation Offine	6:39 AM CET

Settlement Processing Narrative	CONSBLOK 000033140002	OCE CONSENT	YOUR INX
Additional Information			
Input Media	Internal	· · · · · · · · · · · · · · · · · · ·	
References:			
Туре	Reference		
Clearstream	02LZS20000GQ		
CA Notification	0000000065490591		· · · · · · · · · · · · · · · · · · ·

Royal Preferred Banking



ABN AMRO Bank N.V.

HE XINGZHI
305 RONG YANG N ST YONG PING VILL YAN BU TOWN
NANHAI CITY GUANGDONG PROVINCE CHINA

Contact: JASON CHUN (CWB)

聯絡人

Telephone: 22022192

電話號碼

Date: 08/11/07 日期

Contract Note - Structured Product Subscription 結構性產品認購交易單據

The details of transaction are as follows: 交易觧悄如下:

Investment A/C No. 投資帳戶號碼

Product Name 產品名稱

: 9812692 : LB 2Y USD Airbag Range Accrual Note S890

Product / ISIN Code 產品/國際證券號碼

23NOV09 : 07PLE890QU

Issuer 發行商

LEHMAN BROTHERS TREASURY CO BV

Underlying 相關資產

CHINA MOBILE (HONG KONG) LTD INDUSTRIAL AND COM BANK OF CHINA

PING AN INSURANCE CO - H SHS

Coupon Rate (%) 栗面息率

: Please refer to the Term Sheet (其細節戚於條件單) : 08/11/07

Trade Date 交易日 Issue Date 發行日 Maturity Date 到期日

: 23/11/07 : 23/11/09

Nominal Amount 面額 Purchase Price (%) 購入價百分比 : USD 170,000.00 : 100.00

Purchased Amoun 購入金額

: USD 170,000.00

Commission 佣金 Total Settlement Amount 總結算金額

: USD 850.00 : USD 170,850.00

Settlement A/C No. 結算帳戶號碼 Settlement Date 結算日

: 0120127825 : 23/11/07

Remarks

- ABN AMRO N.V.* (the "Bank") is acting as principal in the above-mentioned transaction.

- This Contract Note should be read in conjunction with the product termsheet of the Structured Product 1831:

· 阿爾银行(下榜「左與行」)與上这交易之主事人。

· 此由配告必須與產品條款甲强一併超額。



This is computer-generated document, no signature is required. 此乃餖腦系統所發之文件,毋須簽署。

Remarks:

- As soon as practicable after the Bank has entered into a Transaction with or for your Account, the Bank may send to you an advice, contract note or confirmation of such Transaction. Such advice, contract note or confirmation is provided by the Bank for record purposes only. Any Instructions given or authorised, if accepted by the Bank, are accepted at the time of the same being given or authorised and not at the time of the issue of the contract note or confirmation.
- 2. You shall examine all advices, contract notes or confirmations as soon as you receive them and if you do not receive them within 7 days of entering into a Transaction, you shall immediately notify the Bank and request a copy. Except for discrepancies of which you notify the Bank within 90 days from the date of such advice, contract note or confirmation, the Bank's records shall be deemed correct and binding on you (subject to the Bank's right to adjust, which may be exercised by the Bank at any time, any entries in the Account or details in the advice, contract note or confirmation where they have been wrongly or mistakenly made by the Bank).
- If you make any Transaction relating to or otherwise adopt by conduct, the Transaction recorded in such advice, confract note or confirmation, the recorded Transaction is treated as conclusive against you notwithstanding the relevant period for raising objection has not expired.
- 4. If you change your mailing address, kindly notify ABN AMRO Bank N.V. in writing.
- The price of investment products can and does fluctuate, and that any individual investment products may experience upward or downward movements, and may even become valueless. There is an inherent risk that losses may be incurred rather than profit made as a result of buying and selling investment products.
- Except as otherwise indicated in the offering materials for a particular investment, investments are not deposits or other obligations of, or guaranteed or insures by ABN AMRO Bank N.V. or any of their affiliates or subsidiaries, or by any local government or insurance agency, and are subject to investment risks, including possible loss of the principal amount invested.
- 7. Securities Trading Hours
 - Hong Kong Stocks: Monday to Friday, 10:00a.m. to 12:30 p.m., 2:30 p.m. to 4:00 p.m. Asian Stocks: Monday to Friday, 9:00 a.m. to 5:00 p.m. (Hong Kong Business Day)
- 8. ABN AMRO Bank N.V. Incorporated in Netherlands with limited liability.
- 9. ABN AMRO Bank N.V. address: 17/F Lincoln House, Taikoo Place, 979 King's Road, Quarry Bay, Hong Kong,
- 10. ABN AMRO Bank N.V. acts as an intermediary unless specified. ABN AMRO (Hong Kong) Nominees Ltd. (a 100% owned subsidiary of ABN AMRO Bank N.V. providing custodian and nominees services) acts as an associated entity of the intermediary.
- 11. In case of discrepancy between the English and Chinese version, the English version shall prevail.

備註:

- 本銀行與你的賬戶或為你的賬戶進行一項交易後,將在可行情況下盡快將交易單據、合約單據或交易確認書送交給你。本銀行提供的此等交易單據、合約單 據或確認書只作記錄之用。客戶發出或授權發出的指示,一經本銀行接受,即以發出或授權發出的時候(而非有關交易單據或確認書發出的時間)為接受指 示的時間。
- 2. 你收到任何交易單趨、合約單據或確認查時須立即核對;若你在訂立交易後7天內仍未收到此等交易單據、合約單據或確認書,你須立即通知本銀行,並要求一份副本。除非你在此交易單據、合約單據或確認書日期起90天內,通知本銀行任何錯誤,本銀行的記錄即被視為正確,並對你有約束力(但本銀行有糧調整其錯誤作出的任何服戶登錄或交易單據、合約單據或確認書資料,而本銀行可閱時行使此項權利)。
- 若你進行獲此等交易單據、合約單攤或確認審上記錄的交易或通過行為採納此等交易,則此等已記錄的交易對你而會是屬於確定性的,而不論提出異盟的有關期限是否已屆滿。
- 4. 關下之通訊地址如有更改、請以各面通知本行。
- 5. 投資產品價格可能會及確實會波動,而且任何一隻投資產品的價格都可能會上升及下降,甚至變成毫無價值。買賣投資產品有其內在的風險,所以未切一定能夠驟取利潤,反而可能會招致損失。
- 6. 投資並非一般存款,且存在風險,包括損失本金。再者,一般投資並未獲得ABN AMRO Bank N.V.或其任何附屬或聯發公司;或任何國家之當地政府,或保險公司的保證或擴保,除非其保證已列明於有關之認顯查程中。
- 7. 股票交易時間
 - 港般:星期一至星期五,早上十時至下午十二時三十分,下午二時三十分至下午四時正 亞洲股票:星期一至星期五,早上九時至下午五時(香港辦公日)
- 8. 荷蘭銀行是一家於荷蘭註冊成立的有限責任公司。
- 9. 荷蘭銀行地址:香港關魚涌英皇道979號太古坊林揖大廈17樓
- 10. 如非特別指明,荷蘭銀行將作為中介者。ABN AMRO (Hong Kong) Nominees Ltd.(從事提供託管及代理服務的荷蘭銀行全資附屬公司)作為中介者的聯繫實體。
- 11. 中文譯本如含義與英文有異,則以英文為準。



United States I	Bankruptcy Court/Southern District of New York		
Lehman Brothe	ers Holdings Claims Processing Center ruptcy Solutions, LLC P.O. Box 5076	PROOI	CRITIES PROGRAMS F OF CLAIM
In Re: Lehman Brothe Debtors.	Chapter 1 1 Case No. 08-13555 (JMP) (Jointly Administered)	Filed: USBC - Souther Lehman Brothers F 08-13555	toldings Inc., Et Al.
Note: This fo	orm may not be used to file claims other than those aman Programs Securities as listed on		
http://www.b	ehman-docket.com as of July 17, 2009	or admin wite	FUR COURT USE ONLY
SHUM YEE	ess of Creditor: (and name and address where notices should be so SHAN		Check this box to indicate that this claim amends a previously filed claim.
Flat D, 4 3 MUI SH	171., BLOCK 23., PARC VERSAILLES II, U HANG RD. TAI PO, HONG KONG		Court Claim Number:
Telephone num	ber: (852) 96032376 Email Address: Joann 6	ecitimehk.com.hk	Filed on:
Telephone num	•		☐ Check this box if you are aware that anyone else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars
Provide the Programs Secure and whether such dollars, using the provided transport of the programs of the program	te total amount of your claim based on Lehman Programs Securiti- ities as of September 15, 2008, whether you owned the Lehman P ch claim matured or became fixed or liquidated before or after Sep- te exchange rate as applicable on September 15, 2008. If you are f a schedule with the claim amounts for each Lehman Programs Sec	rograms Securities on September tember 15, 2008. The claim amo	15, 2008 or acquired them thereafter,
Creditor holds L determined.			asserts a claim in an amount to be
	im: \$ (as statement above) (Required		
	box if the amount of claim includes interest or other charges in ad c International Securities Identification Number (ISIN) for each L espect to more than one Lehman Programs Security, you may atta relates.	observe Decision C	
International S	ecurities Identification Number (ISIN): XS0336250229		Required)
Number from yo	e Clearstream Bank Blocking Number, a Euroclear Bank Electron tach, a "Blocking Number") for each Lehman Programs Security for each Lehman Programs Security four accountholder (i.e. the bank, broker or other entity that holds stee Lehman Programs Security, you may attach a schedule with the	or which you are filing a claim.	You must acquire a Blocking
Clearstream Ba number:	nk Blocking Number, Euroclear Bank Electronic Instruction CA90370 (Required)	Reference Number and or other	depository blocking reference
	Clearstream Bank, Euroclear Bank or other depository participans s claim. You must acquire the relevant Clearstream Bank, Eurocle e. the bank, broker or other entity that holds such securities on you		
Accountholders	Euroclear Bank, Clearstream Bank or Other Depository Part	icipant Account Number:	
5. Consent to	(Acquire)		FOR COURT HOP ON A
disclose your idea	Euroclear Bank, Clearstream Bank or Other Depository: By re deemed to have authorized, Euroclear Bank, Clearstream Bank ntity and holdings of Lehman Programs Securities to the Debtors is and distributions.	or other denocitam, to	FILED RECEIVED
Date.	Signature: The person filing this claim must sign it. Sign and pri the creditor or other person authorized to file this claim and state number if different from the notice address above. Attach copy of	addrees and tolonhone	OCT 2 8 2009
>6 Oct, 2009	Dan Mun.		EPIO BANKRUPTCY SOLUTIONS, LLC

INSTRUCTIONS FOR PROOF OF CLAIM FORM

The questions on the Proof of Claim form include instructions for completing each question. The instructions and definitions below are general explanations of the law. In certain circumstances, such as bankruptcy cases not filed voluntarily by the debtor, there may be exceptions to these general rules.

Creditor's Name and Address:

Fill in the name of the person or entity asserting a claim and the name and address of the person who should receive notices issued during the bankruptcy case. A separate space is provided for the payment address if it differs from the notice address. The creditor has a continuing obligation to keep the court informed of its current address. See Federal Rule of Bankruptcy Procedure (FRBP) 2002(g).

Date and Signature:

The person filing this proof of claim must sign and date it. FRBP 9011. Print the name and title, if any, of the creditor or other person authorized to file this claim. State the filer's address and telephone number if it differs from the address given on the top of the form for purposes of receiving notices. Attach a complete copy of any power of attorney. Criminal penalties apply for making a false statement on a proof of claim.

DEFINITIONS

Debtor

A debtor is the person, corporation, or other entity that has filed a bankruptcy case.

Creditor

A creditor is the person, corporation, or other entity owed a debt by the debtor on the date of the bankruptcy filing.

A claim is the creditor's right to receive payment on a debt that was owed by the debtor on the date of the bankruptcy filing. See 11 U.S.C. §101 (5). A claim may be secured or unsecured, reduced to judgment or not, liquidated or unliquidated, fixed, contingent, matured, unmatured, disputed. undisputed, legal or equitable

Proof of Claim

A proof of claim is a form used by the creditor to indicate the amount of the debt owed by the debtor on the date of the bankruptcy filing. The creditor must file the form with the Claims Agent at the following address:

Lehman Brothers Holdings Claims Processing c/o Epiq Bankruptcy Solutions, LLC FDR Station, PO Box 5076 New York, NY 10150-5076

Lehman Programs Security

Any security included on the list designated "Lehman Programs Securities" available on http://www.lehman-docket.com as of July 17,

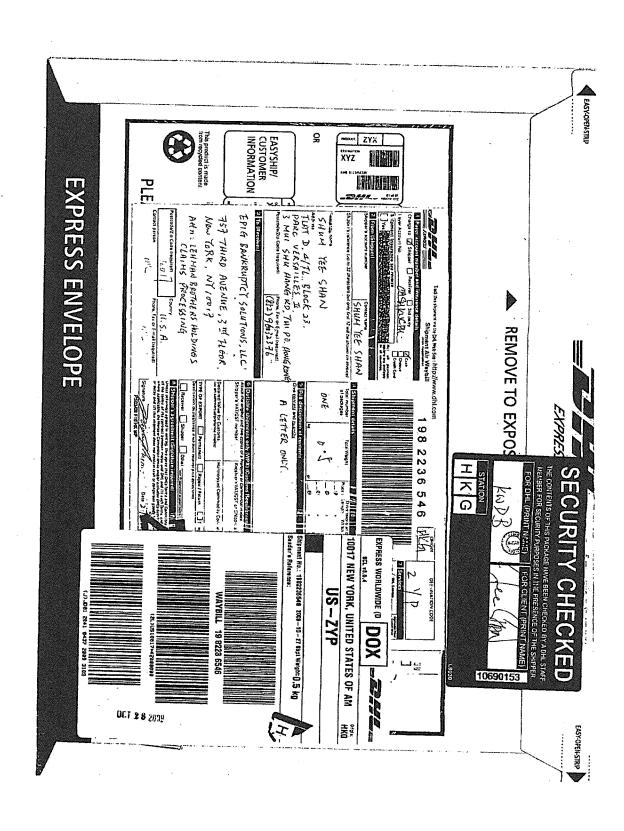
INFORMATION

Acknowledgment of Filing of Claim

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United States	Bankruptcy Court/Southern District of New York		
	ers Holdings Claims Processing Center	LEHMAN SEC	URITIES PROGRAMS
FDR Station.	ruptcy Solutions, LLC P.O. Box 5076		OF OF CLAIM
	Y 10150-5076		
In Re:	ers Holdings Inc., et al., Chapter 11 Case No. 08-13555 (JMP)		Vod.
Debtors.	ers Holdings Inc., et al., Case No. 08-13555 (JMP) (Jointly Administered)	Filed: USBC	Southern District of New York rathers Holdings Inc., Et Al.
N		Cennian	08-13555 (JMP) 0000050835
Notes This i based on La	orm may not be used to file claims other than those hman Programs Securities as listed on	ni (si stili	
http://www.	lehman-docket.com as of July 17, 2009		
	ress of Creditor: (and name and address where notices should be	cont if different from the disco	
PAN LIHONG	The state of the s	sent it different from Creditor)	Check this box to indicate that this claim amends a previously filed claim.
FLAT C	38/F TOWERS THE HARBOUR GREEN IONG ROAD TAI KOK TSUI KOWLOON		
			Court Claim Number:(If known)
Telephone nur	nber:(86)/38028323/9 Email Address: PANA	IHONG@VIP. 163.COM	File
Name and add	ress where navment should be sent (if different from about)		Filed on: Check this box if you are aware that
PAN LIHO	NG 8/F TOWER 5 THE HARBOUR GREEN 10NG RUAD TAI KOK TSUI KOWLOON		anyone else has filed a proof of claim
8 SHAM A	IONG RUAD TAI KOK TSUI KONLOON		relating to your claim. Attach copy of statement giving particulars
Telephone nur	nber(86)/38028323/9 Email Address:PANL//	HONGEVIP. 163.COM	satement giving particulars
1. Provide t	he total amount of your claim based on Lehman Programs Secur	ities. Your claim amount must be	the amount owed under your Lehman
I LOBIMITO DECE	rities as of September 15, 2008, whether you owned the Lehman ch claim matured or became fixed or liquidated before or after S	Programs Committee on Contomb.	
donard, donie,	ne caenance rate as applicable on sentemper 15. Julia it contar	the athic elains with same as a	
you may anaci	a schedule with the claim amounts for each Lehman Programs S	Security to which this claim related	s.
Creditor holds	USD 50, 000 in aggregate face value of the Lehman Program	ns Security described herein, and	asserts a claim in an amount to be
determined			
Amount of Cl	nim: \$ (as statement above) (Requi	·ed)	
☐ Check this	box if the amount of claim includes interest or other charges in	addition to the principal amount d	and the first of the second se
2. Provide t	ie International Securities Identification Number (ISIN) for each	Lehman Programs Security to wh	uch this claim relates If you are files
this claim with which this clair	respect to more than one Lehman Programs Security, you may a	tach a schedule with the ISINs for	r the Lehman Programs Securities to
		1400530	(Required)
3. Provide the	e Clearstream Bank Blocking Number, a Euroclear Bank Electric	onic Reference Number, or other o	lepository blocking reference number,
Number from y	our accountholder (i.e. the bank, broker or other entity that holder	y for which you are filing a claim.	You must acquire a Blocking
to more than or claim relates.	e Lehman Programs Security, you may attach a schedule with th	e Blocking Numbers for each Leh	man Programs Security to which this
Clearstream B	ank Blocking Number, Euroclear Bank Electronic Instructio	n Reference Number and or oth	er depository blocking reference
	CA46556 (Required)		
4. Provide th	e Clearstream Bank, Euroclear Bank or other depository particip	ant account number related to you	r Johnson Programs Societies for which
Jou are marg a	is claum, a ou must acquire (ne relevant Clearstream Hank, Furn	lear Rank or other denocitors nor	tiginant gangunt in the C
numbers.	i.e. the bank, broker or other entity that holds such securities on	our behalf). Beneficial holders sh	ould not provide their personal account
Accountholder	Furarlear Rank Clasertean Park - Ottom		
Accountinger	s Euroclear Bank, Clearstream Bank or Other Depository Pa	rticipant Account Number:	
<i>E</i> C	89529 (Required)		FOR COUNTIES ON
consent to, and	b Euroclear Bank, Clearstream Bank or Other Depository: Eare deemed to have authorized, Euroclear Bank, Clearstream Bar	de or other democitant to	FOR COURT USE ONLY
disclose your la	antity and holdings of Lehman Programs Securities to the Debtor	s for the purpose of	FIGURE (PROPERTY)
Date.	ns and distributions.		FILED / RECEIVED
	Signature: The person filing this claim must sign it. Sign and the creditor or other person authorized to file this claim and sta	e address and talonhone	007.0.0.2000
	number if different from the notice address above. Attach copy	of power of attorney, if any.	OCT 2 8 2009
23-10-209	· Ch A.	/	
/	it Told	2.	EPIO BANKRUPTO' SULUTIONS, LLC

United States Bankruptcy Court Southern District of New York Lehman Brothers Holdings Claims Processing Center C/o Epiq Bankruptcy Solutions, LLC 757 Third Avenue, 3rd Floor New York, NY 10017 USA

Dear Sirs,

Re: Lehman Brothers Holdings Inc ("LBHI") Chapter 11 Case No. 08-13555 (JMP)

REVISED LEHMAN PROGRAM SECURITIES PROOF OF CLAIM – HKD DENOMINATED LEHMAN HOLDING

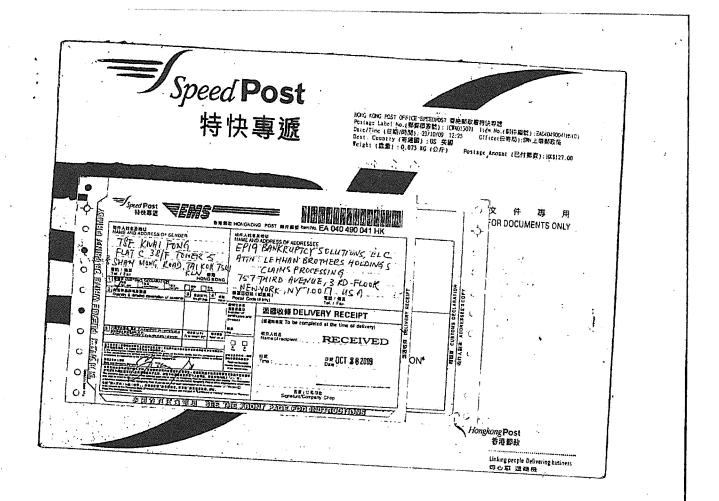
Please find enclosed Lehman Program Securities Proof of Claim/s ("Proof of Claim") with Clearstream Bank Blocking Number/s below:

CA46105

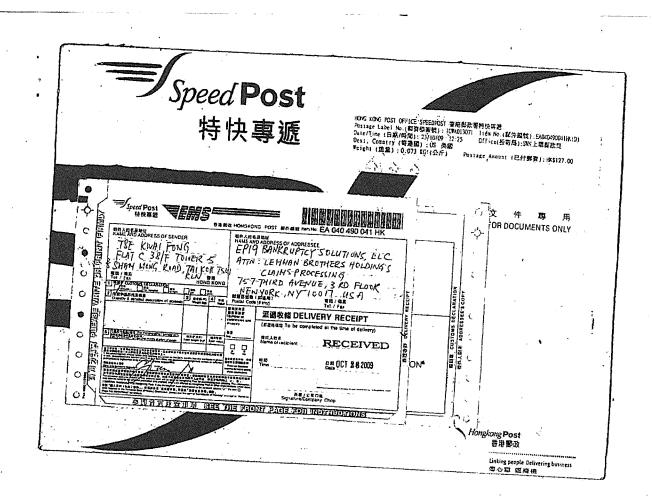
The enclosed Proof of Claim/s contain the correct USD/HKD conversion rate as at 15 September 2008 and shall supersede any Proof of Claim with the same Clearstream Bank Blocking Number/s filed previously.

If you have any questions, or require any further information, please do not hesitate to contact me/us using the contact details stated in the enclosed revised Proof of Claim.

Yours faithfully,		
Name/s:	PAN LIHONG	
Signature/s:	第709/2.	
Date:	23-10-2009	
Enc.)	



United States	Bankruptcy Court/Southe	rn District of New York		
c/o Epiq Bank	ers Holdings Claims Proce ruptcy Solutions, LLC	ssing Center		URITIES PROGRAMS
FDR Station, I New York, N			PROO	F OF CLAIM
In Re: Lehman Broth	ers Holdings Inc., et al.,	Chapter 11 Case No. 08-13555 (JMP)	Filed: USBC - S	outhern District of New York
Debtors.		(Jointly Administered)	I STORY OF THE	obusin District of New York hers Holdings Inc., Et Al. 13555 (JMP) 0000050836
	orm may not be used to	offile claims other than those		
	ehman-docket.com as		N	
		and address where notices should be s	ent if different from Creditor)	Check this box to indicate that this
PAN LIHONG FLAT C 3	8/F TOWERS TO	HE HARBOUR GREEN KOK TSUI KOWLOON		claim amends a previously filed claim.
8SHAH M	IONG ROAD TAI	KOK TSUI KOWLOGN		Court Claim Number: (If known)
Telephone nun	iber (86) 138v 28	323/9 Email Address: PANL/A	HONGEVIP. 163. COM	Filed on:
PAN LIHO	ress where payment should	be sent (if different from above)		Check this box if you are aware that anyone else has filed a proof of claim
FLAT C 3 8 SHAN	YONG RUAD TAI	THE HARBOUK GREEN KOK TSUI KOWLOON 32319 Email Address: PANLIA	بر مدن	relating to your claim. Attach copy of statement giving particulars
Telephone nun	1ber: (86)/38028	32317 Email Address: PANLIA	HONG @ VIP. 163. COM	
Programs Secu	rities as of September 15,	im based on Lehman Programs Securit 2008, whether you owned the Lehman I	Programs Securities on Septembe	r 15, 2008 or acquired them thereafter
and whether su	ch claim matured or becar	ne fixed or liquidated before or after Se	ptember 15, 2008. The claim ar	nount must be stated in United States nore than one Lehman Programs Security,
you may attach	a schedule with the claim	amounts for each Lehman Programs So	ecurity to which this claim relates	S.
Creditor holds of the Lehman	HKD 500, 000 (equivalent Programs Security describ	to USD 64, 181. 56 at the conversion red herein, and asserts a claim in an amount	rate of USD/HKD 7.7904 as at Se bunt to be determined.	eptember 15, 2008) in aggregate face value
Amount of Cl	aim: S <u>(as stat</u>	ementabove) (Require	ed)	
☑ Check this	box if the amount of clair	n includes interest or other charges in a	ddition to the principal amount d	ie on the Lehman Programs Securities.
Z. Provide t	ne International Securities respect to more than one I	Identification Number (ISIN) for each I ehman Programs Security, you may att	ehman Programs Security to wh	ich this claim relates If you are Clina
	Securities Identification I	Number (ISIN):XS03266	026498	(Required)
3. Provide (ne Clearstream Bank Block	ing Number, a Euroclear Bank Electron	nic Reference Number, or other o	lengsitory blocking reference number
Number from y	each, a "Blocking Numbe our accountholder (i.e. the	") for each Lehman Programs Security bank, broker or other entity that holds:	for which you are filing a claim.	You must acquire a Blocking
to more than or claim relates.	ie Lehman Programs Secu	rity, you may attach a schedule with the	Blocking Numbers for each Leh	man Programs Security to which this
Clearstream B	ank Blocking Number F	uranicar Paul, Florinania in circustan	D.C	
number:	CA46105	uroclear Bank Electronic Instruction	Reference Number and or oth	er depository blocking reference
4. Provide ti		(Required)	int account number coloted to incu	r Lehman Programs Securities for which
Jou are marg u	us ciann, i ou must acquir	e ine relevant Clearstream Hank, Furne	lear Bank or other denocitors nor	ticipant account number from your ould not provide their personal account
numbers.	was and paint, order or our	a criticy that holds such securities on yo	our denail). Beneficial holders sh	ould not provide their personal account
Accountholder	s Euroclear Bank, Clear	stream Bank or Other Depository Pa	rticipant Account Number:	
	89529	(Required)		
disclose your id	are deemed to have author	stream Bank or Other Depository: Bized, Euroclear Bank, Clearstream Bank man Programs Securities to the Debtors	k or other denository to	FILED / RECEIVED
Date.	Signature: The person f	iling this claim must sign it. Sign and pr	rint name and title, if any, of	OCT 28 2009
	number if different from	on authorized to file this claim and state the notice address above. Attach copy of	of power of attorney, if any.	EPIQ BANKRUPTOV SI HOBB. IJE
23-10-2009		港面	3	CLUT DYNUROLICA 21 - LIONE TTE
	1	1/102/11	110	1



United States B	Bankruptcy Court/Southe	rn District of New York		
c/o Epiq Bankr FDR Station, P New York, NY			PROC	URITIES PROGRAMS OF OF CLAIM
in Re: Lehman Brothe Debtors.	ers Holdings Inc., et al.,	Chapter 11 Case No. 08-13555 (JMP) (Jointly Administered)	Lehman Brothe	thein District of New York Frs Holdings Inc., Et Al. 3555 (JMP) 0000050873
based on Leh	rm may not be used to man Programs Secur <u>shman-docket.com</u> as			
LI WING SEE		and address where notices should be so	· .	Check this box to indicate that this claim amends a previously filed claim.
HOUSE 36,	BZLAIR VILLA, 9	YU TAI ROAD, FANLING, A	ST. Howf Konsty	Court Claim Number:
Telephone num	ber:(\$62)9 } \$7-4\$	be sent (if different from above)	Imoud @ 9 mail. com	Filed on:
Name and addr	ess where payment should	be sent (if different from above)		☐ Check this box if you are aware that
- <i>f</i>	ts Above -			anyone else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars
Telephone num	····	Email Address:		3.
and whether suc dollars, using th	offices as of September 15, 2 th claim matured or becam be exchange rate as applica-	im based on Lehman Programs Securiti 2008, whether you owned the Lehman I ne fixed or liquidated before or after Sep able on September 15, 2008. If you are to amounts for each Lehman Programs Se	Programs Securities on September stember 15, 2008. The claim as iling this claim with respect to n	at 15, 2008 or acquired them thereafter, mount must be stated in United States
Creditor holds I value of the Lei	HKD 1, 000, 000 (equivalenman Programs Security of	ent to USD 128, 363. 11 at the conversion of the	on rate of USD/HKD 7.7904 as a mount to be determined.	at September 15, 2008) in aggregate face
Amount of Cla	im: S <u>(as stat</u>	ement above) (Require	d)	
☑ Check this	box if the amount of clair	n includes interest or other charges in ac	dition to the principal amount d	uc on the Lehman Programs Securities.
Provide th	e International Securities respect to more than one I	ldentification Number (ISIN) for each I ehman Programs Security, you may att	ehman Programs Security to wh	ich this claim relates. If you are filing
International S	Securities Identification I	Number (ISIN): XS03357	743398	(Required)
Number from ye	each, a "Blocking Number our accountholder (i.e. the	ing Number, a Euroclear Bank Electron ") for each Lehman Programs Security bank, broker or other entity that holds s ity, you may attach a schedule with the	for which you are filing a claim. auch securities on your behalf. I	You must acquire a Blocking
Clearstream Banumber:		uroclear Bank Electronic Instruction	Reference Number and or oth	er depository blocking reference
	CA55025	(Required)		
you are ming in	is claum. You must acquir	e the relevant Clearstream Bank, Eurocl	car Bank or other denository par	or Lehman Programs Securities for which ticipant account number from your nould not provide their personal account
Accountholder	s Euroclear Bank, Clear 89529	stream Bank or Other Depository Par	ticipant Account Number:	
5. Consent t	o Euroclear Bank, Clear	stream Bank or Other Depository: By	filing this claim, you	FOR COURT USE ONLY
disclose your id	are deemed to have author entity and holdings of Leb ms and distributions	ized, Euroclear Bank, Clearstream Bank man Programs Securities to the Debtors	or other depository to for the purpose of	FILED / RECEIVED
Date.	Signature: The person f	iling this claim must sign it. Sign and pr on authorized to file this claim and state	rint name and title, if any, of	OCT 2 8 2009
OCT 22,2009	number if different from	the notice address above. Attach copy of	of power of attorney, if any.	
,	LINING LINIGH	8- CZ2		EPIQ BANKRUPTCY SOLUTIONS, LLC

INSTRUCTIONS FOR PROOF OF CLAIM FORM

The questions on the Proof of Claim form include instructions for completing each question. The instructions and definitions below are general explanations of the law. In certain circumstances, such as bankruptcy cases not filed voluntarily by the debtor, there may be exceptions to these general rules.

Creditor's Name and Address:

Fill in the name of the person or entity asserting a claim and the name and address of the person who should receive notices issued during the bankruptcy case. A separate space is provided for the payment address if it differs from the notice address. The creditor has a continuing obligation to keep the court informed of its current address. See Federal Rule of Bankruptcy Procedure (FRBP) 2002(g).

Date and Signature:

The person filing this proof of claim must sign and date it. FRBP 9011. Print the name and title, if any, of the creditor or other person authorized to file this claim. State the filer's address and telephone number if it differs from the address given on the top of the form for purposes of receiving notices. Attach a complete copy of any power of attorney. Criminal penalties apply for making a false statement on a proof of claim.

DEFINITIONS

A debtor is the person, corporation, or other entity that has filed a bankruptcy case.

A creditor is the person, corporation, or other entity owed a debt by the debtor on the date of the bankruptcy filing.

Claim

A claim is the creditor's right to receive payment on a debt that was owed by the debtor on the date of the bankruptcy filing. See 11 U.S.C. §101 (5). A claim may be secured or unsecured, reduced to judgment or not, liquidated or unliquidated, fixed, contingent, matured, unmatured, disputed, undisputed, legal or equitable

Proof of Claim

A proof of claim is a form used by the creditor to indicate the amount of the debt owed by the debtor on the date of the bankruptcy filing. The creditor must file the form with the Claims Agent at the following address:

Lehman Brothers Holdings Claims Processing c/o Epiq Bankruptcy Solutions, LLC FDR Station, PO Box 5076 New York, NY 10150-5076

Lehman Programs Security

Any security included on the list designated "Lehman Programs Securities" available on http://www.lehman-docket.com as of July 17,

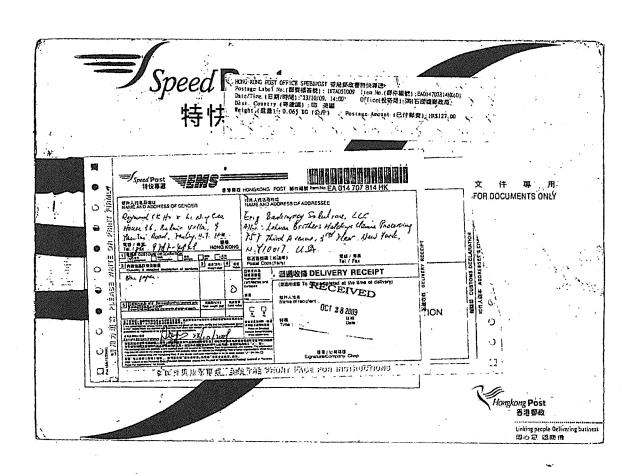
INFORMATION

Acknowledgment of Filing of Claim

To receive acknowledgment of your filing, you may either enclose a stamped self-addressed envelope and a copy of this proof of claim, or you may access the Claims Agent's system (http://www.lehman-docket.com) to view your filed proof of claim.

Offers to Purchase a Claim

Certain entities are in the business of purchasing claims for an amount less than the face value of the claims. One or more of these entities may contact the creditor and offer to purchase the claim. Some of the written communications from these entities may easily be confused with official court documentation or communications from the debtor. These entities do not represent the bankruptcy court or the debtor. The creditor has no obligation to sell its claim. However, if the creditor decides to sell its claim, any transfer of such claim is subject to FRBP 3001(e), any applicable provisions of the Bankruptcy Code (11 U.S.C. § 101 et seq.), and any applicable orders of the bankruptcy court.



United States Bankruptcy Court/Southern District of New York Lehman Brothers Holdings Claims Processing Center Lehman Brothers Holdings Claims Processing Center	GUDIAN DE SIDE SE LA SE
of Epid Bankruptcy Solutions, LLC	CURITIES PROGRAMS
Nov. Varl. NV 10150 5076	them District of Now Yest
Chapter 11 (B-1)	915 Holdings Inc., El Al. 3555 (JMP) 0000050879
Lehman Brothers Holdings Inc., et al., Case No. 08-13555 (JMP) Debtors. (Jointly Administered)	(1111) 11111111111111111111111111111111
Note: This form may not be used to file claims other than those	
based on Lehman Programs Securities as listed on	
<u>mitp://www.terman-aucket.com</u> as of may 17, 2009	E IS FOR COURT USE ONLY
Name and address of Creditor: (and name and address where notices should be sent if different from Creditor)	1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1
YEE HEUNG MING RM 2616 KAM CHUN HSE TUNG CHUN CRT.	claim amends a previously filed claim.
SHAU KEI WAN HK	Court Claim Number: (If known)
Telephone number: 852-28868918 Email Address: heun gring@hatnail com	
Name and address where payment should be sent (if different from above)	Filed on: Check this box if you are aware that
Name and address where payment should be sent (if different from above) Rm 2616 KAM CHUN HSE _ TUNG CHUN CRT.	anyone else has filed a proof of claim
SHAU KEI WAN HK Telephone number: 852-28868918 Email Address: heungming @hotmarl. com	relating to your claim. Attach copy of statement giving particulars
1. Provide the total amount of your claim based on Lehman Programs Securities. Your claim amount must Programs Securities as of September 15, 2008, whether you owned the Lehman Programs Securities on September 15, 2008, whether you owned the Lehman Programs Securities on September 15, 2008, whether you owned the Lehman Programs Securities on September 15, 2008, whether you owned the Lehman Programs Securities on September 15, 2008, whether you owned the Lehman Programs Securities on September 15, 2008, whether you owned the Lehman Programs Securities on September 15, 2008, whether you owned the Lehman Programs Securities on September 15, 2008, whether you owned the Lehman Programs Securities on September 15, 2008, whether you owned the Lehman Programs Securities on September 15, 2008, whether you owned the Lehman Programs Securities on September 15, 2008, whether you owned the Lehman Programs Securities on September 15, 2008, whether you owned the Lehman Programs Securities on September 15, 2008, whether you owned the Lehman Programs Securities on September 15, 2008, whether you owned the Lehman Programs Securities on September 15, 2008, whether you owned the Lehman Programs Securities on September 15, 2008, whether you owned the Lehman Programs Securities on September 15, 2008, whether you owned the Lehman Programs Securities on September 15, 2008, whether you owned the Securities of Securiti	nher 15, 2008 or acquired them thereafter
and whether such claim matured or became fixed or liquidated before or after September 15, 2008. The claim dollars, using the exchange rate as applicable on September 15, 2008. If you are filing this claim with respect t	amount must be stated in United States
you may attach a schedule with the claim amounts for each Lehman Programs Security to which this claim rel	ates.
Creditor holds USD 120, 000 in aggregate face value of the Lehman Programs Security described herein,	and asserts a claim in an amount to be
determined.	
Amount of Claim: \$ (as statement above) (Required)	
☑ Check this box if the amount of claim includes interest or other charges in addition to the principal amount	it due on the Lehman Programs Securities.
2. Provide the International Securities Identification Number (ISIN) for each Lehman Programs Security to this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the ISINs	which this claim relates If you are filing
which this claim relates.	tot die Lennan Programs Securities to
International Securities Identification Number (ISIN): XS0331769538	(Required)
3. Provide the Clearstream Bank Blocking Number, a Euroclear Bank Electronic Reference Number, or oth	er depository blocking reference number.
as appropriate (each, a "Blocking Number") for each Lehman Programs Security for which you are filing a cla Number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf	im. You must acquire a Blocking
to more than one Lehman Programs Security, you may attach a schedule with the Blocking Numbers for each claim relates.	Lehman Programs Security to which this
Clearstream Bank Blocking Number, Euroclear Bank Electronic Instruction Reference Number and or number:	other depository blocking reference
CA38070 (Required)	
4. Provide the Clearstream Bank, Euroclear Bank or other depository participant account number related to	your Lehman Programs Securities for which
you are filing this claim. You must acquire the relevant Clearstream Bank, Euroclear Bank or other depository accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). Beneficial holder	participant account number from your should not provide their personal account
numbers.	-
Accountholders Euroclear Bank, Clearstream Bank or Other Depository Participant Account Number:	
89529 (Required)	FOR COURT USE ONLY
5. Consent to Euroclear Bank, Clearstream Bank or Other Depository: By filing this claim, you consent to, and are deemed to have authorized, Euroclear Bank, Clearstream Bank or other depository to	
disclose your identity and holdings of Lehman Programs Securities to the Debtors for the purpose of reconciling claims and distributions.	FILED RECEIVED
Date. Signature: The person filing this claim must sign it. Sign and print name and title, if any, of	OCT 2 8 2009
the creditor or other person authorized to file this claim and state address and telephone number if different from the notice address above. Attach copy of power of attorney, if any.	001 2 8 2009
number if unferent from the nonce address above. Attach copy of power of attorney, if any.	EPIQ BANKRUFTCY SULUTIONS, LLC
2 10 - 2009	

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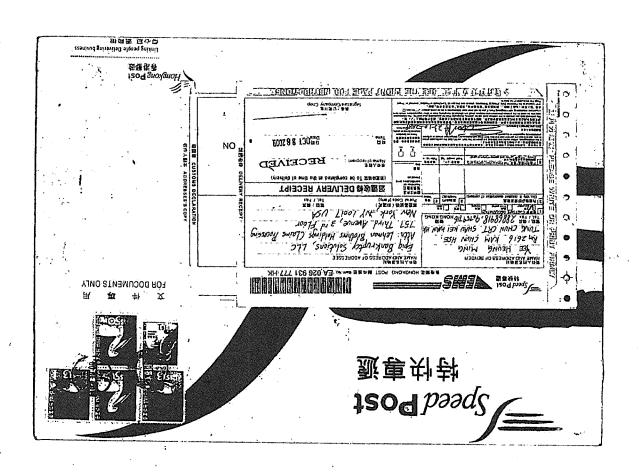
INFORMATION_

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Schedule of 7th, 8th, 9th, and 10th Distributions Received From Lehman Brothers Treasury BV

				ſ												
Destrublion of Security	ISIN/CUSIP	Issuer	<u>2</u>	Wotional	Admissible Amount Issur Notional	Issue	Notional	Malurity	1.BT 10th	1011	LBT 9th		LBT8th Distribution LBT 7th	tribution !	BT 7th	
			Y.	Amaunt					Dist	Distribution	Distribution	ua		_	Distribution	
LB 2Y AUD Range Acertal Note Sy30 05NOV09	XS0327940929		YEL	1,770,000 60 AUD	JD. 663,755 35.	GAV S	2,310,000 00	MUNONSU	day 6	10.301 73	COY	N SE 588 E1	day	7 733 50 1	Al/D	5 072 82
LB 2Y HKD Range Acertal Note 5776 220CT 09	XS0325786084	Lehman Brothers Treasury Co BV	H.C	14,240,000 00 HKD	(1) 1,447,566 F9	9 HKD	27,360,000 00	220CT 09		-	-			_	7	86 505 57
LB 23 HKD Arring Range Acertal Note \$779 230CT09	XS1326026498	_	E S	12,390,000 00 HKD		DE LEGI	00 000,000 11	330CT 099		==	-					51 604 35
LB 2Y HKD Arring Range Accreal Note ST49 270CT 09	NS/326608352		3	22,540,000 on HKD	D 1,491,243 KS	SHKD	31,796,000 90	270CT0%	-	-					_	63.878.36
LB 2Y HKD Range Accrtal Nate S796 270CT 09	NS0326866307	Lehman Brothers Treasury Co. BV	E C	9,830,600 09 HKD	CD 1,183,527 62	HKD	17 940 (100 11)	270CT@	2	77,020 08		_		_	_	10,182,38
I.B 2Y HKD Range Acertal Note S817 02NOV09	NS0327859301	Lehman Brothers Treasury Co. BV	2	4,440,000 00 IIKD	CD 488,596.61	IIKD	8,110,000.00	02NOV399	HKD	31,773 34	_				_	n. 161 60
LB 2V HKD Airbag Range Acertal Note State fish Ovine	XS1329337348	Lehman Brothers Treasury Co BV	E KD	9,050,000,00 HKD	to seed and di	4 HKD	22,070,000,00	POYONER	/ HKD	17 129 119	IKD		IND.	_	_	3.970.33
LB 1Y HKD Airlag Range Acertal Note 5902 30NOV09	XS/532153807	Lehman Brothers Treasury, Co. BV	HKD	0.810,000.00 HKD	D 802.157.78	SHKD	11,210,000 00	MONONOR			CX	-			_	10, 116, 11
LB 2Y HKD Range Accreal Note State 14DECus	XS0334922860	Lehman Brothers Treasury Co BV	HKD	14.560,000 ub HKD			21,860,000 00	HDECO			_	_		_		37 867 53
LB 27 HKD Range Accrual Nate 2947 21 DECITO	NS0315743358	Lehman Brothers Treasury Co BV	HKD	(3,630,000,00) HKD			29,060,000 00	21DEC04		58,4412 39						9 727 63
LIB 2Y HKD Range Acertal Note Sitts 18JAN10		Lehman Brothers Treasury Co. BV	E C	7.350 000 00 HKD			12,329,100,00	ISTANTO		31 852 OF	G.Y					10 199 40
LB IV HKD Daily Callable Fixed Coupon Note Si74 (ITMIAR))		Lehman Brothers Treasury, Co. BV	3	18.856.000 00 HKD	L343,007.21	92	27,729,000 00	UZMARUT		108,479 27	-					55,173,95
LB 17 HKD Daily Callable fixed Coupon Note 5/78 05M1/R09		asury Co	HED	13,570,000 on 11KD	(D 1,049,93612	IRD	19,810,060.00	OSMANROS	HKD	82,174.97	IKD	07.928 20 H	IKD 4		HKD 41	11,705 00
LB 27 USD Range Acertal Note S778 220(7709	XS0325841368		9	U.220,000,00 1050	D 1,009,984.70	<u>(S)</u>	2,386 (000.00	120CTev	8	7,90,4,34	D.	U 18 711.01	CSD	1 96 106 5	r asn	1.034.54
LB 2Y USD Range Actrial Note S787 230CT099	XS0326026225		8	630,000 00 (USD	64 714,24 F	03:1	1,180 000 00	230CT09	130	6,809.73	8	9,975 19 10	CS:	5,084 60.	uso 3	3,475.71
L.B 2Y USD flange Accrual Nate S747 270CT09	XS0326608279		83	DS:3 00 000'011'E	0 1,402,407.79	OS C	3,850,000.00	270CT09	DS.	(3,569 73	GS.	17.88.4 S.I	OS.		u CEO	6, 927 16
LB 27 USD Airbay Range Accrual Note S806 270CT09	NS0326608436	Lehman Brothers Treasury Co BV	8	860 000 00° USD	564,605 73	<u> </u>	1,550,000 00	270CT/09	8	4,782.77	CSO	6,303.67 12	OS.	3,571 14 10	CSO	17.77
LB 27 USD Range Acertal Note 5798 270CT09	NS0326708491	Lehman Brothers Treasury Co BV	9	1,170,000 00 USD	D 732,570,88	S	1,810,000,00	270CT08	S	7,220 78	6 50	9,528 81	CSD			81 0697
LB 27 USD Range Acertal Note SN04 20OCT 09	XSi326865671	I thman Brothers Treasury Co BV	S	74e,000 an USD	0 429.06533	GS.	1,220,000 00.	290CT699	9	3,978 Vis	(SD	5,244 25 U	QS)	2.970.96	USD	2.030 56
LB 2Y USD Range Acertal Note SNt2 02NOVR9	NS0327465430		9	as a diptor of	06 196 361 40	82	940,000,00	02NOVB9	8	140 76	B	26.3 28 1	OS.	U SI ort	OS:O	101 97
LB 27 USD Range Acertal Note Style 06NOV09	XS0328586515	Lehnan Brothers Treasury, Co. BV	2	1,440,000 on [USD	3 TVESTUG 35	63	1,670,900,00	DENONDO	25.	50 CZT'01	US:	13,743,49	OS:	7,786 20 10	rsn s	5,322,24
LB 27 USD Arring Range Actrual Note SW0 23NOV319	XS9331400027	Lehman Brothers Freasury Co. BV	3	2,020,000 on [USD	383,415.35	nsn :	2,800,000,00	23NOVIE	GS.	15,237 50	OS 1	30,082 95	OS	U37734 (U	CSD .	2,777.00
LB 23' USD Airbag Pange Accrual Note SR91 23NOVU9	XS0331400530	I chman Brothers Treasury Co HV	S	2,050,000.00 CSD	08,000,000,000	CSD	2,430,900,00	23NOVE	S	15,463-80	CSD	J 12 186 m	CSD	_	USD 7	7.892.50
LB 27 USD Range Acertal Note S877 23NOV09	NS0331504749	Lehman Brothers Treasure Co BV	9	EST ON MO DEST	745,425 94	GSD	1 410,000,00	MUNONEZ	5	5,569 32	S					2,842,80
UB 2Y USD Airhag Range Acertal Note SHRS 23NOV00.	X81531769454		ß	2.550,000 on USD		CS.	\$,780 000 00	PHYONE	ŝ	21 2349 56	Œ	27.903 64 U	_	S.858.87 U	_	0.840.05
LB 27 USD Authay Range Acertal Note S889 23NOV09	XS0331769538		9	2,780,000 00 USD	2,470,391,22	2	4,3 (0,000 00)	2380009	CSD	24,327 71	3	12,300 3 74 U	eso osa		CE OSD	2,418,26
LB 2Y USD Range Accrual Nate S948 21DEC09	XS0336250239	Lehnan Brothers Treasury Co BV	3	CSO 000 000 005.1	929,647.31	3	3,380,000,00	TIDECOG	9	6,676,77	OS:	8,799 95 W	r. osn	J. 185 31 U	uso a	3,407.37
LB 2Y USD Range Acertal Nate State 17DECH9	XSi316415913	Lehman Brothers Treasury Co BV	8	1,460,000 out USD	837,356.14	65	2,060,000 00	TDECON	8	4,060,74	GS.	U 242 FE				4.625.28
LB 27 USD Range Accrual Note S954 29DEC09	XS0336645089	Lehman Brothers Treasure Co BV	8	2.170,900 By USD	808,152,55	G	2,754,000.06	29DEC03	9	9,736, 17	2		OS:	7,249 68 U		4,969.30
LB 2Y USD Range Acertal Note S059 20DEC09	XS0336927909	Lehman Brothers Treasury, Co BV	9	2,256,000,00, USD	2.080,010.87	22	3,190,000,00	SUDECHI	5	22,398.83	S		_	16,723 44 [U	050	1,432,25
1 B 23 USD Airbag Range Acertal Note S970 31 DEC 09.	XS0337553688	Lehman Brothers Treasury Co. BV	8	CSO 000 000 011-11	571,256.57	CSD	2,110,000 00	31DEC09	CSD	17 STS'4	CXD	9 026 24 0	CSD :	5,113 St. U	USD 3	3,495 39
LB 25 USD Airbag Range Acertal Note 5983 04JAN10	XS0338525172	Lehman Brothers Treasury Ca BV	9	CSD 000 000 001	181,498 22	CSD	580,000 00	OTANIO	83	2,102.14	CSD	2,770 63 13	OS)	1,569 at U	CSD	073.16
LB 2Y USD Range Acertal Note S004 18JAN10	XS0339560293		9	470,000 nn 0050	778,041 43	250	2,750,000,00	OLVECTO	Ø	4 (89 9a	S	5,522,34 18		_	,	2 138 85
LB 27 USD Arting Range Accrual Note S(156 25JAN10)	XS0341160082		S	540,000 on 11SD	1 574,563.74	S	2,040,000 00	2554810	5	2,322 04	25	3, 116.11 4.4 P.	L CSD	L.733 7'9 U	- A3	185.30
LB IY USD Dals Callable Fixed Coupon Nate S081 05MAR99	NS0344511872	Lehnian Bradiers Treasury Co BV	GS	2.830,000 @v USD	1,695,334.57	S	4,280,000 00	95MAR99	ß	17,114,57	(S)	2,556 91 109	CSO CSO	J.778 877 U		736 21
LB IV USD Daily Callable Exced Coupon Note Si93 11MAR09	XS0350521377		8	290,000,00		2	960,000 on	HAMAROS	CS	1,762.57	CS.	2,323 06 13	n asa	1 316 Un	CSD	8499 SR
LB 2 75Y CNY Booster Handry a Note - USD 22MAR11	XS0364730845	Lehman Brothers Treasury Co. BV	S	285 000 00 (USD	1.882.088.75	6	7 150 000 00	2231AR11	0	21/71 07	(5:1	_		2 218 39 LISD		516.40